Form <b>990</b>
(Rev. January 2020)
Department of the Treasury Internal Revenue Service

# \*\* PUBLIC DISCLOSURE COPY \*\*

Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.



► Go to www.irs.gov/Form990 for instructions and the latest information.

AI	For th	e 2019 calendar year, or tax year beginning and	ending		
B	Check if applicat	e: C Name of organization		D Employer identific	ation number
	Addr	HEALTH RESEARCH ALLIANCE, INC.			
	Name	Doing business as	68-061719	98	
	Initia returr	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	E Telephone number	
	Final returr			240-393-2	2968
	termi ated	City or town, state or province, country, and ZIP or foreign postal code		G Gross receipts \$	543,093.
	Amer	RESEARCH TRIANGLE PARK, NC 27709		H(a) Is this a group re	turn
	Appli tion pend		łD	for subordinates	? Yes X No
		SAME AS C ABOVE		H(b) Are all subordinates in	No No
		empt status: $X = 501(c)(3) = 501(c) ( ) = (insert no.) = 4947(a)(1) + (insert no.) =$	or 527	If "No," attach a	ist. (see instructions)
		te: WWW.HEALTHRA.ORG		H(c) Group exemption	
		f organization: X Corporation Trust Association Other ►	<b>L</b> Year	of formation: 2005 N	State of legal domicile: NC
Pa	art I	Summary			
ø	1	Briefly describe the organization's mission or most significant activities:			IG THE
anc		IMPACT OF BIOMEDICAL RESEARCH TO IMPROVE			
Activities & Governance	2	Check this box			
Š	3				<u> </u>
ू अ	4	Number of independent voting members of the governing body (Part VI, line 1b)		2	
ies	5	Total number of individuals employed in calendar year 2019 (Part V, line 2a)		37	
tivit	6	Total number of volunteers (estimate if necessary)			0.
Ac	/ a	Total unrelated business revenue from Part VIII, column (C), line 12			0.
		Net unrelated business taxable income from Form 990-T, line 39	·····	Prior Year	Current Year
	8	Contributions and grants (Part VIII, line 1h)		619,784.	512,065.
Jue	9	Program service revenue (Part VIII, line 2g)		0.	0.
Revenue	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)		18,498.	31,028.
ž	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		0.	0.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)		638,282.	543,093.
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)		0.	0.
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0.	0.
s	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		322,193.	349,593.
Expenses	16a	Professional fundraising fees (Part IX, column (A), line 11e)		0.	0.
ee i	. ь	Total fundraising expenses (Part IX, column (D), line 25)  53,12	21.		
ŵ	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)		227,378.	322,538.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)		549,571.	672,131.
	19	Revenue less expenses. Subtract line 18 from line 12		88,711.	-129,038.
S OF			Be	ginning of Current Year	End of Year
Assets (	20	Total assets (Part X, line 16)		2,246,124.	1,838,348.
it As	21	Total liabilities (Part X, line 26)		1,335,490.	1,043,832.
No.	22	Net assets or fund balances. Subtract line 21 from line 20		910,634.	794,516.
Pa	art II	Signature Block			

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here		Signature of officer	r				Date		
		MARYROSE		PHD,	EXECUTIVE DIRECTOR				
		Type or print name	e and title					-	
	Prin	t/Type preparer's nai	me		Preparer's signature	Date	Check PTIN		
Paid		TTHEW HIGO			MATTHEW HIGGINS	11/10	/20 self-employed P01861	280	
Preparer	Firm	n's name 🕒 MPO	COMPANY I	LLP			Firm's EIN ▶ 56-19453	91	
Use Only	Firm	n's address 🖌 46	00 MARRI	OTT DI	RIVE SUITE 300				
RALEIGH, NC 27612 Phone						Phone no. 919-836-920	00		
May the II	May the IRS discuss this return with the preparer shown above? (see instructions)								
932001 01-2	12001 01-20-20 LHA For Paperwork Reduction Act Notice, see the separate instructions. Form 990 (2019)								

	1 990 (2019) HEALTH RESEARCH ALLIANCE, INC.	68-0617198 Page <b>2</b>
I U		X
	Check if Schedule O contains a response or note to any line in this Part III	<b>A</b>
1	Briefly describe the organization's mission: THE HEALTH RESEARCH ALLIANCE (HRA), A COLLABORATIVE MEI	мвер
	ORGANIZATION OF NONPROFIT RESEARCH FUNDERS, IS COMMITTE	
	THE IMPACT OF BIOMEDICAL RESEARCH TO IMPROVE HUMAN HEAD	
	THE IMPACT OF DIOMEDICAL RESEARCH TO IMPROVE HOMAN HEAD	
2	Did the organization undertake any significant program services during the year which were not listed on the	
2	5 June 200 - 200 570	Yes X No
	prior Form 990 or 990-EZ?	
	If "Yes," describe these new services on Schedule O.	
3	Did the organization cease conducting, or make significant changes in how it conducts, any program service	es? Yes X No
	If "Yes," describe these changes on Schedule O.	
4	Describe the organization's program service accomplishments for each of its three largest program services,	• •
	Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to o	thers, the total expenses, and
	revenue, if any, for each program service reported.	
4a		Revenue \$)
	CONVENE MEMBERSHIP TO FOSTER COMMUNICATION AND COLLABO	RATION.
	HRA FOSTERED OPEN COMMUNICATION AND COLLABORATION AMONG	G AND BETWEEN
	FUNDERS AND THE BROADER RESEARCH COMMUNITY. THESE CHANN	NELS CAME ALIVE
	AT OUR MEMBERS MEETINGS AND STRENGTHENED THROUGH WEBINA	ARS AND CANDID
	LISTSERV DISCUSSIONS. AND, THE CONNECTIONS WE DEVELOPE	D ALONGSIDE
	INSTITUTIONS LIKE THE NATIONAL LIBRARY OF MEDICINE, NAT	FIONAL INSTITUTES
	OF HEALTH, AND COUNCIL ON GOVERNMENT RELATIONS ARE TES'	FAMENTS TO OUR
	EXPANDED ROLE IN THE BIOMEDICAL ECOSYSTEM.	
4b	(Code:) (Expenses \$221,471. including grants of \$) (R	Revenue \$
	SUPPORT INFRASTRUCTURE AND PRACTICES TO SHARE RESEARCH	
	ANALYSIS.	· · · ·
	HRA COLLECTED AND SHARED COMPREHENSIVE DATA AND ANALYS	IS ABOUT
	NONPROFIT FUNDING FOR BIOMEDICAL RESEARCH AND TRAINING	
	AVAILABILITY OF HRA REPORTER AND HRA OPEN, HRA WAS ABL	
	DATA-DRIVEN ANALYSIS ENABLING MEMBERS TO BETTER UNDERS'	
	OF THEIR GRANTMAKING PRACTICES.	
40	71.118 $(a)$	
40	(Code:) (Expenses \$74,418. including grants of \$) (R GATHER AND DISSEMINATE KNOWLEDGE TO FOSTER INNOVATIVE (	
	ADDRESS CHALLENGES IN BIOMEDICAL RESEARCH.	SKANIMAKING AND
	ADDRESS CHADLENGES IN BIOMEDICAL RESEARCH.	
	HRA PROVIDED LEADERSHIP, KNOWLEDGE, TOOLS, AND OPPORTU	
	INNOVATIVE AND EFFECTIVE GRANTMAKING. FROM TIMELY PANEL	
	MEMBERS MEETINGS TO PRACTICAL WEBINAR PRESENTATIONS, W	
	CONNECT MEMBERS TO THE INFORMATION, IDEAS, INDIVIDUALS	
	ORGANIZATIONS PAVING THE PATH TO PROGRESS. HRA ALSO A	
	KEY TO ACCELERATING RESEARCH DISCOVERY AND ITS TRANSLA	
	GROUPS DIVE DEEP INTO ISSUES THAT IMPACT OUR MEMBERS, 7	
	RECOMMENDATIONS, IDENTIFY RESOURCES, OR FACILITATE DISC	CUSSION ABOUT
	WHAT'S NEXT.	
4d	Other program services (Describe on Schedule O.)	
	(Expenses \$ including grants of \$ ) (Revenue \$	)
4e	Total program service expenses ► 562,307.	- 000 (
		000

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 HEALTH RESEARCH ALLIANCE, INC.

 Part IV
 Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?			
	If "Yes," complete Schedule A	1	Х	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	Х	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for			
	public office? If "Yes," complete Schedule C, Part I	3		X
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect			
	during the tax year? If "Yes," complete Schedule C, Part II	4		X
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or			
	similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to			
	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete			
	Schedule D, Part III	8		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for			
	amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?			
	If "Yes," complete Schedule D, Part IV	9		X
10	Did the organization, directly or through a related organization, hold assets in donor-restricted endowments			
	or in quasi endowments? If "Yes," complete Schedule D, Part V	10		X
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X			
	as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,			
	Part VI	11a	X	
b	Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b		<u> </u>
С	Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		X
d	5			
	Part X, line 16? If "Yes," complete Schedule D, Part IX	11d		X
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses			
	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f		X X
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete			
	Schedule D, Parts XI and XII	12a	X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year?			
	If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,			
	investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000			- <b>v</b>
15	or more? If "Yes," complete Schedule F, Parts I and IV	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any	45		x
46	foreign organization? If "Yes," complete Schedule F, Parts II and IV	15		
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to	16		x
17	or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>	16		
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,	17		x
18	column (A), lines 6 and 11e? <i>If</i> "Yes," <i>complete Schedule G, Part I</i> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines	17		<u> </u>
10		10		x
19	1c and 8a? <i>If</i> "Yes," <i>complete Schedule G, Part II</i> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If</i> "Yes,"	18		<u> </u>
19		10		x
20-	complete Schedule G, Part III Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	19 20a		X
20a b		20a 20b		
р 21	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	200		
<b>~</b> I	domestic government on Part IX, column (A), line 1? <i>If</i> "Yes." <i>complete Schedule I, Parts I and II</i>	21		x

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			Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on			
	Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete			
	Schedule J	23	Х	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the			
	last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete			
	Schedule K. If "No," go to line 25a	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
с	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease			
	any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit			
	transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and			
	that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete			
	Schedule L, Part I	25b		X
26	Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current			
	or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35%			
	controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	26		X
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee,			
	creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled			
	entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV			
	instructions, for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If			
	"Yes," complete Schedule L, Part IV	28a		X
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b		X
С	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If			
	"Yes," complete Schedule L, Part IV	28c		X
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		Х
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation			
	contributions? If "Yes," complete Schedule M	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		_X_
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete			
	Schedule N, Part II	32		_X_
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			
	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		<u> </u>
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and			
	Part V, line 1	34		<u> </u>
	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity			
	within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		<u> </u>
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?			
-	If "Yes," complete Schedule R, Part V, line 2	36		<u> </u>
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			37
	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		<u> </u>
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?		v	
Pa	Note: All Form 990 filers are required to complete Schedule O           Ct V         Statements Regarding Other IRS Filings and Tax Compliance	38	Х	
ra				
	Check if Schedule O contains a response or note to any line in this Part V			
			Yes	No
	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable <b>1a 5</b> Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable <b>1b 0</b>	•		
	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable <b>1b U</b> Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming			
C	big the organization comply with backup withholding fulles for reportable payments to vehicus and reportable gaming			

(gambling) winnings to prize winners?

1c

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Par	t V Statements Regarding Other IRS Filings and Tax Compliance (continued)			
			Yes	No
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,			
	filed for the calendar year ending with or within the year covered by this return 2a 2a			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b	Х	
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to <i>e-file</i> (see instructions)			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		X
	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O	3b		
	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a			
	financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		X
b	If "Yes," enter the name of the foreign country			
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
с	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c		
	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit			
	any contributions that were not tax deductible as charitable contributions?	6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts			
	were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
с	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required			
	to file Form 8282?	7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year7d			
е	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the			
	sponsoring organization have excess business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds.			
а	Did the sponsoring organization make any taxable distributions under section 4966?	9a		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		
10	Section 501(c)(7) organizations. Enter:			
а	Initiation fees and capital contributions included on Part VIII, line 12 10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b			
11	Section 501(c)(12) organizations. Enter:			
	Gross income from members or shareholders 11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against			
	amounts due or received from them.)			
	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year			
13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
а	Is the organization licensed to issue qualified health plans in more than one state?	13a		
	Note: See the instructions for additional information the organization must report on Schedule O.			
b	Enter the amount of reserves the organization is required to maintain by the states in which the			
	organization is licensed to issue qualified health plans 13b			
С	Enter the amount of reserves on hand			37
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a		<u> </u>
	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O	14b		├──
15	Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or			77
	excess parachute payment(s) during the year?	15		X
40	If "Yes," see instructions and file Form 4720, Schedule N.			v
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income?	16		X
	If "Yes," complete Form 4720, Schedule O.		000	

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#### HEALTH RESEARCH ALLIANCE, INC.

Check if Schedule O contains a response or note to any line in this Part VI

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions. X

Sec	tion A. Governing Body and Management					
					Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	15			
	If there are material differences in voting rights among members of the governing body, or if the governing					
	body delegated broad authority to an executive committee or similar committee, explain on Schedule O.					
b	Enter the number of voting members included on line 1a, above, who are independent	1b	15			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship	with any other				
	officer, director, trustee, or key employee?			2		X
3	Did the organization delegate control over management duties customarily performed by or under the					
				3		X
4	Did the organization make any significant changes to its governing documents since the prior Form 99			4		X
5	Did the organization become aware during the year of a significant diversion of the organization's asse			5		X
6	Did the organization have members or stockholders?			6		X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or app			_		v
	more members of the governing body?			7a		X
D	Are any governance decisions of the organization reserved to (or subject to approval by) members, sto			76		x
0	persons other than the governing body?			7b		<u>л</u>
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year The governing body?			8a	х	
a b	Each committee with authority to act on behalf of the governing body?			oa 8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reac			00		
5	organization's mailing address? If "Yes," provide the names and addresses on Schedule O			9		x
Sec	tion B. Policies (This Section B requests information about policies not required by the Internal Rev	enue Code )		•		
		enue coue.j			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?			10a		X
	If "Yes," did the organization have written policies and procedures governing the activities of such cha					
				10b		
11a				11a	Х	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.					
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13			12a	Х	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise	to conflicts?		12b	Х	
С	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Ye	es," describe				
	in Schedule O how this was done			12c	Х	
13	Did the organization have a written whistleblower policy?			13	X	
14	Did the organization have a written document retention and destruction policy?			14	Х	
15	Did the process for determining compensation of the following persons include a review and approval	by independent				
	persons, comparability data, and contemporaneous substantiation of the deliberation and decision?				77	
а	The organization's CEO, Executive Director, or top management official			15a	Х	37
b	Other officers or key employees of the organization			15b		X
10	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).					
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangem			10 -		x
L	taxable entity during the year? If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate			16a		Λ
a	in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organi					
				16b		
Sec	exempt status with respect to such arrangements?			100		
<u>17</u>	List the states with which a copy of this Form 990 is required to be filed <b>NONE</b>					
18	Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, an	d 990-T (Section 50	)1(c)(3)s	onlv)	availa	ble
	for public inspection. Indicate how you made these available. Check all that apply.			<i>;</i> )	and	
		on Schedule O)				
19	Describe on Schedule O whether (and if so, how) the organization made its governing documents, cor	,	icy, and	financ	cial	
	statements available to the public during the tax year.		<b>,</b> , <u>-</u>			
20	State the name, address, and telephone number of the person who possesses the organization's bool	ks and records	·			
	ANNETTE HUETTER - 919-867-1678					
	65 T.W. ALEXANDER DRIVE #13605, RESEARCH TRIANGLE P	ARK, NC	2770	9		

Form 990 (2019)	HEALTH RESEARCH ALLIANCE, INC.	68-0617198 <sub>P</sub>	age <b>7</b>
Part VII Compen	sation of Officers, Directors, Trustees, Key Employees, Highes	t Compensated	
Employe	ees, and Independent Contractors		
Check if So	chedule O contains a response or note to any line in this Part VII		
Section A. Officers,	Directors, Trustees, Key Employees, and Highest Compensated Employees		
1a Complete this table	ofor all persons required to be listed. Report compensation for the calendar year en	ding with or within the organization's tax	year.
<ul> <li>List all of the organic</li> </ul>	anization's current officers, directors, trustees (whether individuals or organization	s), regardless of amount of compensation	n.

Enter -0- in columns (D), (E), and (F) if no compensation was paid.

• List all of the organization's current key employees, if any. See instructions for definition of "key employee."

• List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

• List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

• List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A)	(B)			(0	C)			(D)	(E)	(F)
Name and title	Average	(do	Position (do not check more than one		Reportable	Reportable	Estimated			
	hours per	box	, unle	ss pei	rson i	is botł	n an	compensation	compensation	amount of
	week		cer ar I	ndad I	irecto	or/trus	tee)	from	from related	other
	(list any	ector						the	organizations	compensation
	hours for	or dir	e			ated		organization	(W-2/1099-MISC)	from the
	related	istee	truste		Ð	bensi		(W-2/1099-MISC)		organization
	organizations below	ual tru	ional		ploye	t com				and related
	line)	Individual trustee or director	In stit utio nal tru stee	Officer	Key employee	Highest compensated employee	Former			organizations
(1) STEPHEN ROSE, PHD	1.00		<u> </u>	0	$\leq$	<u> </u>	<u> </u>			
CHAIR		х		x				0.	0.	0.
(2) HEATHER SNYDER, PHD	1.00									
VICE-CHAIR		х		x				0.	0.	0.
(3) ELIZABETH MYERS, PHD	1.00									
PAST-CHAIR		Х		Х				0.	0.	0.
(4) LYNNE GARNER, PHD	1.00									
SECRETARY		х		X				0.	0.	0.
(5) RUSTY KELLEY, PHD	1.00									
TREASURER	1.00	Х		X				0.	0.	0.
(6) MARION GREENUP	1.00									
DIRECTOR	1 00	Х						0.	0.	0.
(7) LOUIS J. DEGENNARO, PHD	1.00									
DIRECTOR	1 00	Х				-		0.	0.	0.
(8) SHANNON GALLAGHER-COLOMBO	1.00								0	0
DIRECTOR	1 0 0	X						0.	0.	0.
(9) LESLIE HEARN	1.00	v						0.	0.	0
DIRECTOR	1 0 0	X				-		0.	0.	0.
(10) JOHN KANKI, PHD	1.00	v							0	0
DIRECTOR	1 0 0	Х				-		0.	0.	0.
(11) JENNA KOSCHNITZKY, PHD	1.00								0	0
DIRECTOR	1 00	Х				-		0.	0.	0.
(12) YUNG LIE, PHD DIRECTOR	1.00	x						0.	0.	0.
(13) JILL O'DONNELL-TORMEY, PHD	1.00	^				-		0.	0.	0.
DIRECTOR	1.00	x						0.	0.	0.
(14) SINDY ESCOBAR-ALVAREZ, PHD	1.00	^				-		0.	0.	0.
DIRECTOR	1.00	x						0.	0.	0.
(15) SALVATORE LAROSA, PHD	1.00					$\vdash$			0.	0.
DIRECTOR	<b></b>	х						0.	0.	0.
(16) MARYROSE FRANKO, PHD	40.00									
EXECUTIVE DIRECTOR		1		x				164,135.	Ο.	28,971.
										- 000 (

	<u>990 (2019) HEALTH RE</u>	ESEARCH	AI	ЪI	AN	ICE	1,	IN	IC.	68-061	.7198	P	Page <b>8</b>
Par	t VII Section A. Officers, Directors, Trus	tees, Key Emp	ploy	ees,	anc	d Hig	ghes	t C	ompensated Employee	s (continued)			
	(A) Name and title	<b>(B)</b> Average hours per week	box	not cl , unles	Pos heck i ss per	more rson i	than o s both pr/trus	n an	<b>(D)</b> Reportable compensation from	(E) Reportable compensation from related	eportable Estimat npensation amount m related other anizations compens		of
		(list any hours for related organizations below line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization (W-2/1099-MISC)	organizations (W-2/1099-MISC)			ne tion ted
			-								_		
			-								+		
											_		
1h	Subtotal								164,135.	0	. 2	28,9	71.
с	Total from continuation sheets to Part VI Total (add lines 1b and 1c)	I, Section A							0.	0	•	28,9	0.
2	Total number of individuals (including but n compensation from the organization							o re	eceived more than \$100,	000 of reportable		1	1
3	Did the organization list any <b>former</b> officer,				•			Ŭ	• • •	•	3	Yes	No X
4	line 1a? <i>If "Yes," complete Schedule J for su</i> For any individual listed on line 1a, is the su and related organizations greater than \$150	m of reportabl	e co	mpe	ensa	tion	and	oth	ner compensation from the	ne organization		x	
5	Did any person listed on line 1a receive or a rendered to the organization? <i>If</i> "Yes." com	iccrue comper	nsati	on fr	om	any	unre	elate	ed organization or individ	lual for services	. 5		X
	tion B. Independent Contractors									100.000 (			
1	Complete this table for your five highest con the organization. Report compensation for t	•	•						the organization's tax y				
	(A) Name and business	address	N	ONE	3				(B) Description of s	ervices	Compe	<b>C)</b> ensatic	on
2	Total number of independent contractors (ir \$100,000 of compensation from the organized)		ot lin	nitec	d to f	thos (		ted	above) who received mo	ore than			

	n 990 (			SEAR	CH ALLIA	NCE, INC.		68-0617	198 Page <b>9</b>
Pa	rt VII	Statement of Re	venue						
		Check if Schedule O	contains a re	esponse	or note to any lin				
						(A) Total revenue	(B) Related or exempt	(C) Unrelated	<b>(D)</b> Revenue excluded
						Total revenue	function revenue	business revenue	from tax under
									sections 512 - 514
ts ts	1 a	Federated campaigns		1a					
ran	b	Membership dues		1b	483,437.				
, G	с	Fundraising events		1c					
ar A	d	Related organizations		1d					
s, G	е			1e					
Si	f	All other contributions, gifts,							
outi the		similar amounts not included		1f	28,628.				
Contributions, Gifts, Grants and Other Similar Amounts	g	Noncash contributions included in		1g \$					
Cor	h	Total. Add lines 1a-1f	_			512,065.			
<u> </u>					Business Code	,			
ø	2 a								
vice	b								
Ser	c								
ver ver	d								
gra Re	u								
Program Service Revenue	e 4	All other pregram convice							
-	•	All other program service							
	9 3	Total. Add lines 2a-2f Investment income (includ							
	3					31,028.			31,028.
		other similar amounts)				51,020.			51,020.
	4	Income from investment of	-	-					
	5	Royalties	(i)	Real					
	_	_		Real	(ii) Personal				
		Gross rents	6a						
	b		6b						
	С	Rental income or (loss)	6c						
		Net rental income or (loss)			<b>&gt;</b>				
	7 a	Gross amount from sales of	(i) Se	curities	(ii) Other				
		assets other than inventory	7a						
	b	Less: cost or other basis							
anu		and sales expenses	7b						
venue	С	Gain or (loss)	7c						
Re	d	Net gain or (loss)			🕨				
Other Re	8 a	Gross income from fundraisi	• •						
₫		including \$		of					
		contributions reported on	line 1c). See	e					
		Part IV, line 18		8a					
	b	Less: direct expenses							
		Net income or (loss) from			►				
	9 a	Gross income from gamin	ng activities.	See					
		Part IV, line 19							
	b	Less: direct expenses							
		Net income or (loss) from			►				
		Gross sales of inventory, I							
		and allowances		10a					
	b	Less: cost of goods sold							
		Net income or (loss) from			<b>&gt;</b>				
		· / -···			Business Code				
Miscellaneous Revenue	11 a								
scellaneo Revenue	b								
ella wet	c								
Be	Ч	All other revenue							
Σ		Total. Add lines 11a-11d							
	12	Total revenue. See instruction				543,093.	0.	0.	31,028.

HEALTH RESEARCH ALLIANCE, Part IX Statement of Functional Expenses

	Check if Schedule O contains a respons not include amounts reported on lines 6b,	(A) Total expenses	(B) Program service	(C) Management and	(D) Fundraising
7b,	8b, 9b, and 10b of Part VIII.		expenses	general expenses	expenses
1	Grants and other assistance to domestic organizations				
	and domestic governments. See Part IV, line 21				
2	Grants and other assistance to domestic				
	individuals. See Part IV, line 22				
3	Grants and other assistance to foreign				
	organizations, foreign governments, and foreign				
	individuals. See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors,	100 000	150 744	12 705	
_	trustees, and key employees	199,929.	159,744.	13,795.	26,390
6	Compensation not included above to disqualified				
	persons (as defined under section 4958(f)(1)) and				
_	persons described in section 4958(c)(3)(B)	112,199.	89,646.	7,742.	14,811
7	Other salaries and wages	112,199.	09,040.	/,/42•	14,011
8	Pension plan accruals and contributions (include	11,529.	9,211.	796.	1 500
0	section 401(k) and 403(b) employer contributions)	8,420.	6,727.	581.	<u> </u>
9	Other employee benefits	17,516.	13,995.	1,209.	2,312
10 11	Payroll taxes	±/,J±0•	±,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	±,403•	4,314
	Fees for services (nonemployees):				
a h	Management				
b		12,775.	7,141.	5,481.	153
-	Accounting	12,775.	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	5,401.	
d	Lobbying Professional fundraising services. See Part IV, line 17				
e f	Investment management fees				
g	Other. (If line 11g amount exceeds 10% of line 25,				
Э	column (A) amount, list line 11g expenses on Sch 0.)	54,536.	30,484.	23,400.	652
12	Advertising and promotion	0 _ / 0 0 0 1			
13	Office expenses	16,119.	12,502.	1,553.	2,064
14	Information technology	118,934.	117,680.	431.	823
15	Royalties		,		
16	Occupancy				
17	Travel	6,111.	6,111.		
8	Payments of travel or entertainment expenses				
	for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	89,202.	89,202.		
20	Interest	-			
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	22,703.	18,140.	1,566.	2,997
23	Insurance	2,158.	1,724.	149.	285
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule 0.)				
а					
b					
с					
d					
е	All other expenses				
25	Total functional expenses. Add lines 1 through 24e	672,131.	562,307.	56,703.	53,121
26	Joint costs. Complete this line only if the organization				
	reported in column (B) joint costs from a combined				
	educational campaign and fundraising solicitation.				
	Check here I if following SOP 98-2 (ASC 958-720)				

INC.

HEALTH	RESEARCH	ALLIANCE,	INC.

ra	πΧ	Balance Sneet					
		Check if Schedule O contains a response or	note to any li	ne in this Part X			
					<b>(A)</b> Beginning of year		<b>(B)</b> End of year
	1	Cash - non-interest-bearing			36,206.	1	1,447
	2	Savings and temporary cash investments			2,084,539.	2	1,763,547
	3	Pledges and grants receivable, net			30,500.	3	0
	4	Accounts receivable, net				4	
	5	Loans and other receivables from any current					
		trustee, key employee, creator or founder, su	ostantial cor	tributor, or 35%			
		controlled entity or family member of any of t	nese person:	s		5	
	6	Loans and other receivables from other disqu	alified perso				
		under section 4958(f)(1)), and persons descril	oed in sectio	n 4958(c)(3)(B)		6	
s	7	Notes and loans receivable, net				7	
Assets	8	Inventories for sale or use				8	
As	9	<b>—</b> ··· · · · · · ·			27,553.	9	28,731
	10a	Land, buildings, and equipment: cost or othe	r				
		basis. Complete Part VI of Schedule D	. 10a	80,658.			
	b	Less: accumulated depreciation		36,035.	67,326.	10c	44,623
	11	Investments - publicly traded securities				11	
	12	Investments - other securities. See Part IV, lin				12	
	13	Investments - program-related. See Part IV, lir				13	
	14	Intangible assets				14	
	15	Other assets. See Part IV, line 11				15	
	16	Total assets. Add lines 1 through 15 (must e			2,246,124.	16	1,838,348
	17	Accounts payable and accrued expenses			35,510.	17	18,872
	18	Grants payable				18	
	19	Deferred revenue				19	
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability. Comple				21	
s	22	Loans and other payables to any current or fo	ormer officer	, director,			
itie		trustee, key employee, creator or founder, su	ostantial cor	tributor, or 35%			
Liabilities		controlled entity or family member of any of t	nese person:	s		22	
Ë	23	Secured mortgages and notes payable to uni	elated third	parties		23	
	24	Unsecured notes and loans payable to unrela	ted third par	ties		24	
	25	Other liabilities (including federal income tax,	payables to	related third			
		parties, and other liabilities not included on lin	nes 17-24). C	Complete Part X			
		of Schedule D			1,299,980.	25	1,024,960
	26	Total liabilities. Add lines 17 through 25			1,335,490.	26	1,043,832
		Organizations that follow FASB ASC 958, o	heck here				
ces		and complete lines 27, 28, 32, and 33.					
and	27	Net assets without donor restrictions	816,340.	27	786,649		
Bal	28	Net assets with donor restrictions	94,294.	28	7,867		
pd		Organizations that do not follow FASB ASC					
Ľ		and complete lines 29 through 33.					
S	29	Capital stock or trust principal, or current fun	ds			29	
set	30	Paid-in or capital surplus, or land, building, or				30	
As	31	Retained earnings, endowment, accumulated				31	
Net Assets or Fund Balances	32	Total net assets or fund balances			910,634.	32	794,516
-	33	Total liabilities and net assets/fund balances			2,246,124.	33	1,838,348

Form **990** (2019)

# Part X Balance Sheet

Form	990	(201	9
101111	000	101	0

	1990 (2019) HEALTH RESEARCH ALLIANCE, INC.	68-061	7198	Pag	<sub>je</sub> 12
Par	rt XI Reconciliation of Net Assets				
	Check if Schedule O contains a response or note to any line in this Part XI				
1	Total revenue (must equal Part VIII, column (A), line 12)	1	543		
2	Total expenses (must equal Part IX, column (A), line 25)	2	672	,13	<u>31.</u>
3	Revenue less expenses. Subtract line 2 from line 1	3	-129	,03	38.
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	910	,63	34.
5	Net unrealized gains (losses) on investments	5	12	,92	20.
6	Donated services and use of facilities	6			
7	Investment expenses	7			
8	Prior period adjustments	8			
9	Other changes in net assets or fund balances (explain on Schedule O)	9			0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32,				
	column (B))	10	794	, 51	<u> 16.</u>
Par	rt XII Financial Statements and Reporting				
	Check if Schedule O contains a response or note to any line in this Part XII				
			`	Yes	No
1	Accounting method used to prepare the Form 990: Cash X Accrual Other				
	If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule	0.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		. 2a		<u>X</u>
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed	on a			
	separate basis, consolidated basis, or both:				
	Separate basis Consolidated basis Both consolidated and separate basis				
b	Were the organization's financial statements audited by an independent accountant?		2b	X	
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate	basis,			
	consolidated basis, or both:				
	X Separate basis Consolidated basis Both consolidated and separate basis				
с	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the	-			
	review, or compilation of its financial statements and selection of an independent accountant?		2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain on Sch				
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Sin	gle Audit			
	Act and OMB Circular A-133?		3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required				
	or audits, explain why on Schedule O and describe any steps taken to undergo such audits			<u> </u> ,, 000	

Form **990** (2019)

SCHEDULE A
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Department of the Treasury Internal Revenue Service

(Form	990	or	990-	EZ)
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## **Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section

4947(a)(1) nonexempt charitable trust. Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047
2019
Open to Public Inspection

Name of t	Name of the organization Employer identification number							
	HEAL	TH RESEARCI	H ALLIANCE,	INC.			6	8-0617198
Part I	Reason for Public (	Charity Status 🖟	All organizations must co	omplete th	is part.) Se	e instructions	S.	
The organ	ization is not a private found	lation because it is: (F	For lines 1 through 12, c	heck only	one box.)			
1	A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).							
2	A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)							
3	A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).							
4	A medical research organiz	ation operated in cor	njunction with a hospital	described	in sectio	n 170(b)(1)(A	<b>)(iii).</b> Enter	the hospital's name,
	city, and state:							
5	An organization operated for	or the benefit of a col	lege or university owned	l or operat	ed by a go	vernmental u	nit describe	ed in
	section 170(b)(1)(A)(iv). (C	Complete Part II.)						
6	A federal, state, or local gov	vernment or governm	nental unit described in	section 17	′0(b)(1)(A)	(v).		
7 X	An organization that norma	Illy receives a substar	ntial part of its support fi	rom a gove	ernmental	unit or from th	ne general p	public described in
	section 170(b)(1)(A)(vi). (C	omplete Part II.)						
8	A community trust describe	ed in section 170(b)(	1)(A)(vi). (Complete Par	t II.)				
9	An agricultural research org	-			-		-	-
	or university or a non-land-g	grant college of agric	ulture (see instructions).	Enter the	name, city	, and state of	the college	or
	university:							
10	An organization that norma							
	activities related to its exen							-
	income and unrelated busin		(less section 511 tax) fro	om busines	ses acquii	red by the org	anization a	itter June 30, 1975.
	See section 509(a)(2). (Co					0(-)(4)		
	An organization organized a	-	•	•				
12	An organization organized a	-	-	-			•	
	more publicly supported or lines 12a through 12d that	-						
a	<b>Type I.</b> A supporting orga	• •					-	aivina
u	the supported organization		-	• • • •	-			
	organization. You must o			indjointy c				pporting
b	<b>Type II.</b> A supporting org	-		ion with its	s supporte	d organizatio	n(s), by hay	vina
~	control or management o	-				-		-
	organization(s). You mus						,	
с 🗌	Type III functionally inte			in connect	ion with, a	nd functional	ly integrate	d with,
	its supported organization	n(s) (see instructions)	). You must complete l	Part IV, Se	ctions A,	D, and E.		·
d	Type III non-functionally	v integrated. A supp	orting organization oper	ated in co	nnection w	ith its suppor	ted organiz	zation(s)
	that is not functionally int	egrated. The organiz	ation generally must sat	isfy a distr	ibution rec	uirement and	an attentiv	/eness
	requirement (see instruct	ions). You must con	nplete Part IV, Sections	A and D,	and Part	V.		
e	Check this box if the orga	anization received a v	written determination fro	m the IRS	that it is a	Type I, Type	II, Type III	
	functionally integrated, or	r Type III non-functior	nally integrated supporti	ng organiz	ation.			
f Ente	er the number of supported o	organizations						
	vide the following information			(iv) is the ora:	inization listed			
(	i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10	in your governi	ng document?	(v) Amount of support (see ir	,	(vi) Amount of other support (see instructions)
	organization		above (see instructions))	Yes	No	Support (See II	istructionsj	
Total								

#### Schedule A (Form 990 or 990-EZ) 2019 HEALTH RESEARCH ALLIANCE, INC. Part II

68-0617198 Page 2

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sec	ction A. Public Support						
Cale	ndar year (or fiscal year beginning in) 🕨	(a) 2015	<b>(b)</b> 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1	Gifts, grants, contributions, and						
	membership fees received. (Do not						
	include any "unusual grants.")	498,125.	646,031.	484,912.	619,784.	512,065.	2760917.
2	Tax revenues levied for the organ-						
	ization's benefit and either paid to						
	or expended on its behalf						
3	The value of services or facilities						
-	furnished by a governmental unit to						
	the organization without charge						
л	Total. Add lines 1 through 3	498,125.	646,031.	484,912.	619,784.	512,065.	2760917.
5	•	19071101	010,0010	101/0120	01077010	511,0001	
5	by each person (other than a						
	governmental unit or publicly						
	supported organization) included						
	on line 1 that exceeds 2% of the						
	amount shown on line 11,						100 005
	column (f)						188,625.
	Public support. Subtract line 5 from line 4.						2572292.
	ction B. Total Support	,					
	ndar year (or fiscal year beginning in) 🕨	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
7	Amounts from line 4	498,125.	646,031.	484,912.	619,784.	512,065.	2760917.
8	Gross income from interest,						
	dividends, payments received on						
	securities loans, rents, royalties,						
	and income from similar sources $\dots$	3,967.	8,749.	12,084.	18,498.	31,028.	74,326.
9	Net income from unrelated business						
	activities, whether or not the						
	business is regularly carried on						
10	Other income. Do not include gain						
	or loss from the sale of capital						
	assets (Explain in Part VI.)						
11	Total support. Add lines 7 through 10						2835243.
12	Gross receipts from related activities,	etc. (see instructic	ons)			12	
13	First five years. If the Form 990 is for	r the organization's	first, second, third	d, fourth, or fifth ta	x year as a sectior	n 501(c)(3)	
	organization, check this box and <b>stop</b>	-			-		
Sec	ction C. Computation of Publi	c Support Per	centage				
	Public support percentage for 2019 (I			olumn (f))		14	90.73 %
15	Public support percentage from 2018	Schedule A, Part	II, line 14			15	90.30 %
	33 1/3% support test - 2019. If the o					ore, check this bo>	k and
	stop here. The organization qualifies as a publicly supported organization						
b	33 1/3% support test - 2018. If the o	organization did no	t check a box on l				
	and <b>stop here.</b> The organization qual					·	
17a	· · ·						or more,
	<b>7a 10% -facts-and-circumstances test - 2019.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization						
	-			-	-	-	
h	meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <b>b</b> 10% -facts-and-circumstances test - 2018. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or						
	more, and if the organization meets the	-					
	organization meets the "facts-and-circ						́ ⊾□
19	Private foundation. If the organization			-			
18	i mate roundation. If the organizatio	T GIU HOL CHECK & I		a, 100, 17a, 01 170	, oneon unis dux al		· ······ 🔽 🔽

Schedule A (Form 990 or 990-EZ) 2019

#### Schedule A (Form 990 or 990-EZ) 2019 HEALTH RESEARCH ALLIANCE, INC. Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Se	ction A. Public Support						
Cale	endar year (or fiscal year beginning in) 🕨	(a) 2015	<b>(b)</b> 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not						
~	include any "unusual grants.")						
2	Gross receipts from admissions, merchandise sold or services per- formed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3	Gross receipts from activities that						
	are not an unrelated trade or bus- iness under section 513						
4	Tax revenues levied for the organ- ization's benefit and either paid to or expended on its behalf						
5	The value of services or facilities furnished by a governmental unit to the organization without charge						
6	Total. Add lines 1 through 5						
78	Amounts included on lines 1, 2, and 3 received from disgualified persons						
ł	Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
	Add lines 7a and 7b						
	Public support. (Subtract line 7c from line 6.)						
Se	ction B. Total Support						
Cale	endar year (or fiscal year beginning in) 🕨	(a) 2015	<b>(b)</b> 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
9	Amounts from line 6						
10a	Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
ł	• Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
	Add lines 10a and 10b						
	Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
	Total support. (Add lines 9, 10c, 11, and 12.)						
14	First five years. If the Form 990 is for	r the organization's	s first, second, thir	d, fourth, or fifth ta	ax year as a sectior	n 501(c)(3) organ	ization,
<u> </u>							
	ction C. Computation of Publi						
	Public support percentage for 2019 (I		•			15	<u>%</u>
<u>16</u>	Public support percentage from 2018 ction D. Computation of Invest					16	%
						47	0/
	Investment income percentage for 20					17	<u>     %</u>
18	Investment income percentage from					18	.17 is not
198	Pa 33 1/3% support tests - 2019. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization						
k	<b>33 1/3% support tests - 2018.</b> If the	organization did r	not check a box on	line 14 or line 19a	a, and line 16 is mo	ore than 33 1/3%	, and
	line 18 is not more than 33 1/3%, che						
20	Private foundation. If the organization	n did not check a	box on line 14, 19a	a, or 19b, check th	his box and see ins	tructions	▶∟

Schedule A (Form 990 or 990-EZ) 2019

### Schedule A (Form 990 or 990-EZ) 2019 HEALTH RESEARCH ALLIANCE, INC.

#### 68-0617198 Page 4

1

Yes

No

#### Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

#### Section A. All Supporting Organizations

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in **Part VI** how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- **3a** Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.
- **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- **4a** Was any supported organization not organized in the United States ("foreign supported organization")? *If* "Yes," and *if you checked 12a or 12b in Part I, answer (b) and (c) below.*
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If* "Yes," *describe in* **Part VI** *how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.*
- **c** Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If* "Yes," *explain in* **Part VI** *what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.*
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- **b Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in* **Part VI.**
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If* "Yes." *complete Part I of Schedule L (Form 990 or 990-EZ).*
- **9a** Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If* "Yes," *provide detail in* **Part VI.**
- **b** Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If* "Yes," *provide detail in* **Part VI.**
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- **10a** Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If* "Yes," *answer 10b below.* 
  - **b** Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

2 3a 3b 3c 4a 4b 4c 5a 5b 5c 6 7 8 9a 9b 9c 10a

10b

# Schedule A (Form 990 or 990-EZ) 2019 HEALTH RESEARCH ALLIANCE, INC. Part IV Supporting Organizations (continued)

			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
а	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c)			
	below, the governing body of a supported organization?	11a		
b	A family member of a person described in (a) above?	11b		
с	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.	11c		
Sec	tion B. Type I Supporting Organizations			
			Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to			
	regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the			
	tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or			
	controlled the organization's activities. If the organization had more than one supported organization,			
	describe how the powers to appoint and/or remove directors or trustees were allocated among the supported			
	organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1		
2	Did the organization operate for the benefit of any supported organization other than the supported			
	organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in			
	Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated,			
	supervised, or controlled the supporting organization.	2		
Sec	tion C. Type II Supporting Organizations			
			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors			
	or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control			
	or management of the supporting organization was vested in the same persons that controlled or managed			
	the supported organization(s).	1		
Sec	tion D. All Type III Supporting Organizations			
			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the			
	organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax			
	year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the			
	organization's governing documents in effect on the date of notification, to the extent not previously provided?	1		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported			
	organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how			
	the organization maintained a close and continuous working relationship with the supported organization(s).	2		
3	By reason of the relationship described in (2), did the organization's supported organizations have a			
	significant voice in the organization's investment policies and in directing the use of the organization's			
	income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's			
	supported organizations played in this regard.	3		
Sec	tion E. Type III Functionally Integrated Supporting Organizations			
1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)			
а	The organization satisfied the Activities Test. <i>Complete</i> <b>line 2</b> <i>below.</i>			
b	The organization is the parent of each of its supported organizations. <i>Complete</i> <b>line 3</b> <i>below</i> .			
С	The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see inst	ructions,		
2	Activities Test. Answer (a) and (b) below.		Yes	No
а	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of			
	the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify			
	those supported organizations and explain how these activities directly furthered their exempt purposes,			
	how the organization was responsive to those supported organizations, and how the organization determined	•		
	that these activities constituted substantially all of its activities.	2a		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more			
	of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the			
	reasons for the organization's position that its supported organization(s) would have engaged in these	<b>0</b> L		
2	activities but for the organization's involvement.	2b		
3	Parent of Supported Organizations. <b>Answer (a) and (b) below.</b>			
а	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Dravide details is <b>Part VI</b>	20		
h	trustees of each of the supported organizations? <i>Provide details in</i> <b>Part VI.</b>	3a		
u	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each	3b		
	of its supported organizations? If "Yes," describe in <b>Part VI</b> the role played by the organization in this regard.	30		

_		<u> </u>		
1	Check here if the organization satisfied the Integral Part Test as a qualifyin	-		Part VI). See instructions. A
Sec	other Type III non-functionally integrated supporting organizations must co ion A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
3	Other gross income (see instructions)	3		
4	Add lines 1 through 3.	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or			
	collection of gross income or for management, conservation, or			
	maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8		
Section B - Minimum Asset Amount			(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see			
	instructions for short tax year or assets held for part of year):			
а	Average monthly value of securities	1a		
b	Average monthly cash balances	1b		
с	Fair market value of other non-exempt-use assets	1c		
d	Total (add lines 1a, 1b, and 1c)	1d		
е	Discount claimed for blockage or other			
	factors (explain in detail in <b>Part VI</b> ):			
2	Acquisition indebtedness applicable to non-exempt-use assets	2		
3	Subtract line 2 from line 1d.	3		
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount,			
	see instructions).	4		
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6	Multiply line 5 by .035.	6		
7	Recoveries of prior-year distributions	7		
8	Minimum Asset Amount (add line 7 to line 6)	8		
Sect	ion C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2	Enter 85% of line 1.	2		
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4	Enter greater of line 2 or line 3.	4		
5	Income tax imposed in prior year	5		
6	Distributable Amount. Subtract line 5 from line 4, unless subject to			
	emergency temporary reduction (see instructions).	6		

emergency temporary reduction (see instructions).

Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see 7 instructions).

Schedule A (Form 990 or 990-EZ) 2019

# Schedule A (Form 990 or 990 EZ) 2019 HEALTH RESEARCH ALLIANCE, INC. Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

### Schedule A (Form 990 or 990-EZ) 2019 HEALTH RESEARCH ALLIANCE, INC.

Pa	t V Type III Non-Functionally Integrated 509(	a)(3) Supporting Orga	nizations (continued)	
Sect	ion D - Distributions		· /	Current Year
1	Amounts paid to supported organizations to accomplish exer	mpt purposes		
2	Amounts paid to perform activity that directly furthers exemp	t purposes of supported		
	organizations, in excess of income from activity			
3	Administrative expenses paid to accomplish exempt purpose	s of supported organizations	3	
4	Amounts paid to acquire exempt-use assets			
5	Qualified set-aside amounts (prior IRS approval required)			
6	Other distributions (describe in Part VI). See instructions.			
_7	Total annual distributions. Add lines 1 through 6.			
8	Distributions to attentive supported organizations to which the	e organization is responsive		
	(provide details in Part VI). See instructions.			
9	Distributable amount for 2019 from Section C, line 6			
10	Line 8 amount divided by line 9 amount			
		(i)	(ii)	(iii)
Sect	ion E - Distribution Allocations (see instructions)	Excess Distributions	Underdistributions Pre-2019	Distributable Amount for 2019
1	Distributable amount for 2019 from Section C, line 6			
2	Underdistributions, if any, for years prior to 2019 (reason-			
	able cause required- explain in Part VI). See instructions.			
3	Excess distributions carryover, if any, to 2019			
a	From 2014			
b	From 2015			
C	From 2016			
d	From 2017			
e	From 2018			
f	Total of lines 3a through e			
g	Applied to underdistributions of prior years			
h	Applied to 2019 distributable amount			
<u>    i</u>	Carryover from 2014 not applied (see instructions)			
<u>    i</u>	Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4	Distributions for 2019 from Section D,			
	line 7: \$			
<u>a</u>	Applied to underdistributions of prior years			
b	Applied to 2019 distributable amount			
C	Remainder. Subtract lines 4a and 4b from 4.			
5	Remaining underdistributions for years prior to 2019, if			
	any. Subtract lines 3g and 4a from line 2. For result greater			
	than zero, explain in <b>Part VI.</b> See instructions.			
6	Remaining underdistributions for 2019. Subtract lines 3h			
	and 4b from line 1. For result greater than zero, explain in			
	Part VI. See instructions.			
7	Excess distributions carryover to 2020. Add lines 3j and 4c.			
8	Breakdown of line 7:			
а	Excess from 2015			
b	Excess from 2016			
c	Excess from 2017			
d	Excess from 2018			
е	Excess from 2019			

Schedule A (Form 990 or 990-EZ) 2019

Schedule A	A (Form 990 or 990-EZ) 2019 HEALTH RESEARCH ALLIANCE,	INC. 68-0617198 Pa	age <b>8</b>
Part VI	Supplemental Information. Provide the explanations required by Part II Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, a Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complet (See instructions.)	c; Part IV, Section B, lines 1 and 2; Part IV, Section C, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V	,

#### Schedule B

(Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue <u>Service</u>

Name of the organization

#### \*\* PUBLIC DISCLOSURE COPY \*\*

# Schedule of Contributors

Attach to Form 990, Form 990-EZ, or Form 990-PF.
 Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2019

Employer identification number

	HEALTH RESEARCH ALLIANCE, INC.	68-0617198
Organization type (chec	· · · · · · · · · · · · · · · · · · ·	
Filers of:	Section:	
Form 990 or 990-EZ	X 501(c)( 3) (enter number) organization	
	4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation	
	527 political organization	
Form 990-PF	501(c)(3) exempt private foundation	
	4947(a)(1) nonexempt charitable trust treated as a private foundation	
	501(c)(3) taxable private foundation	

Check if your organization is covered by the **General Rule** or a **Special Rule**. **Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

#### **General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

#### **Special Rules**

X For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year for an *exclusively* set is contributions totaling \$5,000 or more during the year for an *exclusively* set is contributions totaling \$5,000 or more during the year for an *exclusively* set is contributions totaling \$5,000 or more during the year for an *exclusively* set is contributions totaling \$5,000 or more during the year for an *exclusively* set is contributions.

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

 $\label{eq:LHA} \ \ \mbox{For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.}$ 

Page **2** 

Employer identification number

68-0617198

HEALTH RESEARCH ALLIANCE, INC.

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$ <u>25,000.</u>	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2		\$ <u>25,000.</u>	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3		\$ <u>25,000.</u>	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
4		\$24,650.	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
5		\$ <u>18,750.</u>	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
6		\$ <u>18,750.</u>	Person X Payroll Noncash (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

68-0617198

#### HEALTH RESEARCH ALLIANCE, INC.

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>7</u>		\$ <u>12,500.</u>	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u> </u>		\$12,500.	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll On Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)

Page 3

Employer identification number

68-0617198

HEALTH RESEARCH ALLIANCE, INC.

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

Part II	<b>Noncash Property</b> (see instructions). Use duplicate copies of Part II if additional space is needed.			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received	
		\$		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received	
		\$		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received	
		\$		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received	
		\$		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received	
—		\$	 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received	
—		   \$		

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Name of or	ganization	Employer identification number				
HEALTH	H RESEARCH ALLIANCE, ING	2.	68-0617198			
Part III	Exclusively religious, charitable, etc., contribut from any one contributor. Complete columns (a	ions to organizations described in s ) through (e) and the following line e	esection 501(c)(7), (8), or (10) that total more than \$1,000 for the year entry. For organizations			
	completing Part III, enter the total of exclusively religious, Use duplicate copies of Part III if additional	charitable, etc., contributions of \$1,000 o	or less for the year. (Enter this info. once.) 🕨 \$			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held			
F		(e) Transfer of g	gift			
-	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee			
(a) No.						
from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held			
F	(e) Transfer of gift					
ŀ	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee			
(a) No. from Part I	(b) Purpose of gift (c) Use of		(d) Description of how gift is held			
-	(e) Transfer of gift					
-	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held			
-		(e) Transfer of g				
-	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee			
		[				

Department of the Treasury

(Form	990)
-------	------

Supplemental Financial Statements ► Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. ► Attach to Form 990. ► Go to www.irs.gov/Form990 for instructions and the latest information.



	Revenue Service	Go to www.irs.gov/Form99	0 for instructions and the latest informatio	n.	Inspection
Nam	e of the organizati				er identification number
		HEALTH RESEARCH ALL			68-0617198
Par		-	I Funds or Other Similar Funds or A	Accounts.	Complete if the
	organizatio	n answered "Yes" on Form 990, Part IV, line			<u> </u>
		-	(a) Donor advised funds	(b) Funds a	and other accounts
1		nd of year			
2		f contributions to (during year)			
3		f grants from (during year)			
4		t end of year			
5	-		vriting that the assets held in donor advised fu		
•			exclusive legal control?		Yes No
6			dvisors in writing that grant funds can be used		
	• •		donor advisor, or for any other purpose conf	•	
Par	impermissible prive	ation Easements. Complete if the org	anization answered "Yes" on Form 990, Part	IV line 7	Yes No
1		servation easements held by the organizatio		iv, iii e 7.	
		of land for public use (for example, recreat	( 11 57	storically imp	ortant land area
		f natural habitat	Preservation of a ce		
		of open space			
2		• •	ed conservation contribution in the form of a	conservation	easement on the last
_	day of the tax year				d at the End of the Tax Year
а					
	•		icture included in (a)		
			fter 7/25/06, and not on a historic structure		
	listed in the Nation	nal Register	·	2d	
3			eased, extinguished, or terminated by the orga		ng the tax
	year 🕨				
4	Number of states	where property subject to conservation ease	ement is located		
5	Does the organization	tion have a written policy regarding the peri-	odic monitoring, inspection, handling of		
	violations, and enf	orcement of the conservation easements it	holds?		Yes No
6	Staff and voluntee	r hours devoted to monitoring, inspecting, h	nandling of violations, and enforcing conserva	tion easemer	its during the year
	▶				
7		es incurred in monitoring, inspecting, handl	ing of violations, and enforcing conservation	easements du	uring the year
	▶\$				
8			e satisfy the requirements of section 170(h)(4)		
•					
9	,	0	n easements in its revenue and expense state		- +h
	,	, , ,	ote to the organization's financial statements	that describe	sthe
Par	t III Organiza	ounting for conservation easements. ations Maintaining Collections of	Art, Historical Treasures, or Other	Similar A	ssets.
		the organization answered "Yes" on Form			
1a			3, not to report in its revenue statement and b	alance sheet	works
14	•		lic exhibition, education, or research in furthe		
		Part XIII the text of the footnote to its finan			•
b			3, to report in its revenue statement and balar	nce sheet wor	ks of
~	•		exhibition, education, or research in furtherar		
		ng amounts relating to these items:	,, <u></u>	1-1010	
	•	<b>c</b>		▶ \$	
2	.,		usures, or other similar assets for financial gai		
		unts required to be reported under FASB AS		•	
а	-	on Form 990. Part VIII. line 1	-	▶ \$	

b	Assets included in	Form 990	, Part X

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. 932051 10-02-19

Schedule D (Form 990) 2019

\$

Sche		RESEARCH A						17198	
Pa	t III Organizations Maintaining C	ollections of Ar	t, Historical	Treasures, o	r Other	<sup>.</sup> Similaı	r Assets	continu	ed)
3	Using the organization's acquisition, accessi	on, and other record	ls, check any of	the following tha	t make sig	gnificant ι	use of its		
	collection items (check all that apply):								
а	Public exhibition	c		r exchange progr					
b	Scholarly research	e	e 🛄 Other_						
С	Preservation for future generations								
4	Provide a description of the organization's co	ollections and explain	n how they furth	er the organization	on's exem	npt purpos	se in Part	XIII.	
5	During the year, did the organization solicit of	or receive donations	of art, historical	treasures, or othe	er similar	assets		_	
	to be sold to raise funds rather than to be ma					<u></u>		Yes	No
Pa	t IV Escrow and Custodial Arran		ete if the organi	zation answered	"Yes" on	Form 990	, Part IV,	line 9, or	
	reported an amount on Form 990, Pa								
<b>1</b> a	Is the organization an agent, trustee, custod		•					-	
	on Form 990, Part X?						L	Yes	No No
b	If "Yes," explain the arrangement in Part XIII	and complete the fo	llowing table:						
								Amount	
	Beginning balance								
	Additions during the year								
е	Distributions during the year								
f	Ending balance							7	<u> </u>
	Did the organization include an amount on F					ty?	L	Yes	
Pa	If "Yes," explain the arrangement in Part XIII. <b>t V</b> Endowment Funds. Complete					•	<u></u>		
1 4							vaara baak	(a) Fours	vooro book
4.	Designing of year balance	(a) Current year	(b) Prior yea	ar (C) Two yea	ITS DACK	( <b>a)</b> Thee y	HEATS DACK	<b>(e)</b> Four y	Ears Dack
18 5	Beginning of year balance								
a	Contributions								
с А	Net investment earnings, gains, and losses								
u	Grants or scholarships								
е	Other expenditures for facilities								
f	and programs								
י מ	Administrative expenses End of year balance								
g 2	End of year balance Provide the estimated percentage of the curr		l e (line 1 a. colun	n (a)) held as:					
2	Board designated or quasi-endowment	•	%	in (a)) neiù as.					
h	Permanent endowment								
č		%							
Ŭ	The percentages on lines 2a, 2b, and 2c sho	-							
3a	Are there endowment funds not in the posse		ation that are he	ld and administe	red for the	e organiza	ation		
04	by:					o organiza			es No
	(i) Unrelated organizations							3a(i)	
	(ii) Related organizations							3a(ii)	
b	If "Yes" on line 3a(ii), are the related organiza	ations listed as requir	red on Schedule	• R?				3b	
4	Describe in Part XIII the intended uses of the								
Pa	t VI Land, Buildings, and Equipm	ient.							
	Complete if the organization answere	d "Yes" on Form 990	), Part IV, line 1	1a. See Form 990	), Part X, I	line 10.			
	Description of property	(a) Cost or o basis (investr	• • •	Cost or other asis (other)		ccumulate preciation	ed	<b>(d)</b> Book	value
1a	Land								
	Buildings		l l						
	Leasehold improvements		l l						
	Equipment			80,658.		36,03	35.	44	,623.
	Other			-					
-	I. Add lines 1a through 1e. <i>(Column (d) must e</i>		X. column (B)	ne 10c.)	<u></u>	<u></u>		44	,623.
-	· · · · · · · · · · · · · · · · · · ·			,					

Schedule D (Form 990) 2019

Part VII	Investments -	Other Securi	ties.		
Schedule D	(Form 990) 2019	HEALTH	RESEARCH	ALLIANCE,	INC.

Complete if the organization answered "Yes" or	n Form 990, Part IV, line <sup>-</sup>	11b. See Form 990, Part X, line 12.
(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
1) Financial derivatives		
2) Closely held equity interests		
3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
otal. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)		
Part VIII Investments - Program Related.		
Complete if the organization answered "Yes" of	n Form 990. Part IV. line <sup>-</sup>	11c. See Form 990. Part X. line 13.
(a) Description of investment	(h) De els sels s	

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)		

#### Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

	(a) Description	(b) Book value
(1)		
(2		
(3)		
(4)		
(5		
(6)	1	
(7		
(8		
(9		
Total	Column (b) must equal Form 990, Part X, col. (B) line 15.)	
Par		
	Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.	
1.	(a) Description of liability	(b) Book value
(1)		1 004 000
(2)		1,024,960.
(3		
(4		
(5)		
(6)		
(7)		
(8)		
(9)		1

Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII ....

1,024,960.

Sche	dule D (Form 990) 2019 HEALTH RESEARCH ALLIANCE,	INC.		68-0	617198	Page 4
Par	t XI Reconciliation of Revenue per Audited Financial Statem	nents With F	Revenue per Re	eturn.		
	Complete if the organization answered "Yes" on Form 990, Part IV, line 12	2a.				
1	Total revenue, gains, and other support per audited financial statements			1	556	,013.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:					
а	Net unrealized gains (losses) on investments	2a	12,920.			
b	Donated services and use of facilities	2b				
с	Recoveries of prior year grants	2c				
d	Other (Describe in Part XIII.)	2d				
е	Add lines 2a through 2d			2e	12	<u>,920.</u> ,093.
3	Subtract line 2e from line 1			3	543	<u>,093.</u>
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:					
а	Investment expenses not included on Form 990, Part VIII, line 7b	4a				
b	Other (Describe in Part XIII.)	4b				
С	Add lines <b>4a</b> and <b>4b</b>			4c		0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990. Part I. line 12.)			5	543	,093.
Pa	t XII Reconciliation of Expenses per Audited Financial Stater		Expenses per l	Return	•	
	Complete if the organization answered "Yes" on Form 990, Part IV, line 12				680	1.0.1
1	Total expenses and losses per audited financial statements			1	672	,131.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:	1 1				
а	Donated services and use of facilities			_		
b	Prior year adjustments			_		
С	Other losses			_		
d	Other (Describe in Part XIII.)	2d				•
е	Add lines 2a through 2d			2e		0.
3	Subtract line 2e from line 1			3	672	,131.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:					
а	Investment expenses not included on Form 990, Part VIII, line 7b			-		
b	Other (Describe in Part XIII.)	4b				
с	Add lines 4a and 4b			4c		0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)			5	672	,131.
Pa	t XIII Supplemental Information.					

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SC	HEDULE J	<b>Compensation Information</b>	1	OMB No. 1	545-004	47
(Fo	rm 990)	For certain Officers, Directors, Trustees, Key Employees, and Highest	-	20	40	<u> </u>
•	-	Compensated Employees		20	IJ	)
Dene	hanna af tha Tuana un i	Complete if the organization answered "Yes" on Form 990, Part IV, line 23. Attach to Form 990.		Open to	Publ	ic
	tment of the Treasury al Revenue Service	Go to www.irs.gov/Form990 for instructions and the latest information.		Inspe	ction	
Nam	e of the organizatior	1		identificatio		nber
		HEALTH RESEARCH ALLIANCE, INC.	68-0	061719	8	
Pa	rt I Question	s Regarding Compensation				
					Yes	No
1a	Check the appropri	ate box(es) if the organization provided any of the following to or for a person listed on Form	990,			
	Part VII, Section A,	line 1a. Complete Part III to provide any relevant information regarding these items.				
	First-class or c	harter travel Housing allowance or residence for perso	nal use			
	Travel for com		sidence			
		ation and gross-up payments Health or social club dues or initiation fee	S			
	Discretionary s	spending account Personal services (such as maid, chauffer	ır, chef)			
b	•	on line 1a are checked, did the organization follow a written policy regarding payment or				
-				1b		
2	-	n require substantiation prior to reimbursing or allowing expenses incurred by all directors,				
	trustees, and office	rs, including the CEO/Executive Director, regarding the items checked on line 1a?		2		_
2	la dia ata udaia la jifan					
3		iy, of the following the organization used to establish the compensation of the organization's ctor. Check all that apply. Do not check any boxes for methods used by a related organization.				
		ation of the CEO/Executive Director, but explain in Part III.	JIT LO			
	X Compensation					
		ompensation consultant X Compensation survey or study				
	X Form 990 of o		ommittee			
			Ommillee			
4	During the year did	any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing				
•	organization or a re					
а	-	e payment or change-of-control payment?		4a		x
b		ceive payment from, a supplemental nonqualified retirement plan?				x
		eive payment from, an equity-based compensation arrangement?				X
		es 4a-c, list the persons and provide the applicable amounts for each item in Part III.				
	,					
	Only section 501(c	)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.				
5		n Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensatio	'n			
	contingent on the re					
а	The organization?			5a		X
		ation?				X
	If "Yes" on line 5a c	r 5b, describe in Part III.				
6	For persons listed of	n Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensatio	'n			
	contingent on the n	et earnings of:				
						X
		ation?				X
	If "Yes" on line 6a c	r 6b, describe in Part III.				
7		n Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments				
		es 5 and 6? If "Yes," describe in Part III		7		X
8	Were any amounts	reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to th	ie			
				8		X
9		d the organization also follow the rebuttable presumption procedure described in				
		53.4958-6(c)?				
LHA	For Paperwork Re	eduction Act Notice, see the Instructions for Form 990.	Scheo	dule J (Forn	n <b>990</b> )	2019

Page 2

#### Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred	(D) Nontaxable benefits	(E) Total of columns	(F) Compensation in column (B)
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	compensation	Denefits	(B)(i)-(D)	in column (B) reported as deferred on prior Form 990
(1) MARYROSE FRANKO, PHD	(i)	164,135.	0.	0.	23,551.	5,420.	193,106.	0.
EXECUTIVE DIRECTOR	(ii)	0.	0.	0.	0.	0.	0.	0
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii) (i)							
	(i) (ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii) (i)							
	(i) (ii)							
	(ii)							
	(i) (ii)							

#### Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Schedule J (Form 990) 2019

SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service Name of the organization

#### Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ► Attach to Form 990 or 990-EZ. ► Go to www.irs.gov/Form990 for the latest information.



68-0617198

HEALTH RESEARCH ALLIANCE, INC.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

TO ACHIEVE ITS MISSION, HRA:

- FOSTERS OPEN COMMUNICATION AND COLLABORATION AMONG AND BETWEEN

FUNDERS AND THE BROADER RESEARCH COMMUNITY

- COLLECTS AND SHARES COMPREHENSIVE DATA AND ANALYSIS ABOUT NONPROFIT

FUNDING FOR BIOMEDICAL RESEARCH AND TRAINING

- PROVIDES LEADERSHIP, KNOWLEDGE, TOOLS, AND OPPORTUNITIES TO PROMOTE

INNOVATIVE AND EFFECTIVE GRANTMAKING

- ADDRESSES ISSUES THAT ARE KEY TO ACCELERATING RESEARCH DISCOVERY AND

ITS TRANSLATION

CORE VALUES:

- IMPACT: WE ENABLE EACH ORGANIZATION AND THE RESEARCH COMMUNITY

COLLECTIVELY TO ENHANCE THE EFFECTIVENESS OF BIOMEDICAL RESEARCH.

- COLLABORATE: WE OPENLY SHARE INFORMATION, EXPERIENCE AND KNOWLEDGE,

AND WORK TOGETHER TO ACHIEVE OUR SHARED GOALS.

- INNOVATE: WE FOSTER NOVEL APPROACHES TO ADDRESS CHALLENGES IN

BIOMEDICAL RESEARCH

FORM 990, PART VI, SECTION A, LINE 1:

THE EXECUTIVE COMMITTEE HAS BROAD AUTHORITY DELEGATED BY THE BOARD UNDER

HRA BYLAWS. THE EXECUTIVE COMMITTEE IS COMPOSED OF ALL OFFICERS (CHAIR,

VICE CHAIR, SECRETARY, TREASURER, PAST CHAIR) PLUS THE EXECUTIVE DIRECTOR

AND ONE AT-LARGE MEMBER FROM THE BOARD. HRA BYLAWS GIVE THE EXECUTIVE

COMMITTEE "GENERAL SUPERVISION OF THE AFFAIRS OF THE CORPORATION BETWEEN

Schedule O (Form 990 or 990-EZ) (2019)	Page 2
Name of the organization HEALTH RESEARCH ALLIANCE, INC.	Employer identification number 68-0617198
DIRECTORS IN THE MANAGEMENT OF THE CORPORATION." THE EXEC	UTIVE COMMITTEE

HAS NO AUTHORITY IN THE FOLLOWING MATTERS:

(A) THE AUTHORIZATION OF DISTRIBUTIONS

(B) THE DISSOLUTION OR MERGER OF THE ORGANIZATION OR THE SALE OF

#### ORGANIZATION'S ASSETS

(C) ELECTION OR REMOVAL OF DIRECTORS OR FILING OF VACANCIES ON THE BOARD

#### (D) HIRING/TERMINATION OF EXECUTIVE DIRECTOR

(E) CHANGES IN ARTICLES/BYLAWS

FORM 990, PART VI, SECTION A, LINE 6:

THE ORGANIZATION DOES HAVE ORGANIZATIONAL MEMBERS THAT PAY YEARLY DUES. ALTHOUGH ONLY REPRESENTATIVES FROM MEMBER ORGANIZATIONS ARE ELIGIBLE TO PARTICIPATE IN HRA'S GOVERNING BODY, BEYOND THAT MEMBERS DO NOT HAVE THE RIGHT TO PARTICIPATE IN THE ORGANIZATION'S GOVERNANCE OR TO RECEIVE DISTRIBUTIONS OF INCOME OR ASSETS FROM THE ORGANIZATION. THEREFORE, THE ORGANIZATION DOES NOT HAVE MEMBERS ACCORDING TO THE DEFINITION OF "MEMBER" IN THE FORM 990 INSTRUCTIONS.

FORM 990, PART VI, SECTION B, LINE 11B:

HRA POLICY G-3 (POLICY REGARDING BOARD OF DIRECTORS' REVIEW OF THE HEALTH RESEARCH ALLIANCE'S IRS FORM 990) SPECIFIES THAT THE FINANCE COMMITTEE REVIEW THE HEALTH RESEARCH ALLIANCE'S IRS FORM 990 OR FORM 990EZ AND PRESENT A RECOMMENDATION TO THE FULL BOARD OF DIRECTORS FOR APPROVAL. THIS RECOMMENDATION AND AN ELECTRONIC COPY OF THE IRS FORM 990 OR FORM 990EZ WILL BE PROVIDED TO EACH DIRECTOR APPROXIMATELY THREE WEEKS PRIOR TO THE DATE THE RETURN WILL BE FILED. DIRECTORS WILL BE GIVEN FIVE DAYS TO REVIEW THE FORM AND TO ASK QUESTIONS. AFTER THE REVIEW PERIOD, AND INCORPORATION OF COMMENTS, ALL DIRECTORS MUST VOTE ON ACCEPTANCE OF THE FORM AS

lame of the organization HEALTH RESEARCH ALLIANCE, INC.	Employer identification numbe 68-0617198
PRESENTED. VOTING BY EMAIL OR BY CONFERENCE CALL IS A	CCEPTABLE.
FORM 990, PART VI, SECTION B, LINE 12C:	
ACH DIRECTOR, PRINCIPAL OFFICER AND MEMBER OF A COMMI	TTEE WITH GOVERNING
BOARD-DELEGATED POWERS SHALL ANNUALLY SIGN A STATEMENT	. TO ENSURE THE
RGANIZATION OPERATES IN A MANNER CONSISTENT WITH CHAR	TTARLE PURPOSES AND

PERIODIC REVIEWS SHALL BE CONDUCTED. IN ADDITION, IF THE GOVERNING BOARD

OR COMMITTEE HAS REASONABLE CAUSE TO BELIEVE A MEMBER HAS FAILED TO

DISCLOSE ACTUAL OR POSSIBLE CONFLICTS OF INTEREST, IT SHALL INFORM THE

MEMBER OF THE BASIS FOR SUCH BELIEF AND AFFORD THE MEMBER AN OPPORTUNITY TO

EXPLAIN THE ALLEGED FAILURE TO DISCLOSE. IF, AFTER HEARING THE MEMBER'S

RESPONSE AND AFTER MAKING FURTHER INVESTIGATION AS WARRANTED BY THE

CIRCUMSTANCES, THE GOVERNING BOARD OR COMMITTEE DETERMINES THE MEMBER HAS

FAILED TO DISCLOSE AN ACTUAL OR POSSIBLE CONFLICT OF INTEREST, IT SHALL

TAKE APPROPRIATE DISCIPLINARY AND CORRECTIVE ACTION.

FORM 990, PART VI, SECTION B, LINE 15A:

THE EXECUTIVE COMMITTEE OF THE HRA BOARD OF DIRECTORS SERVES AS THE COMPENSATION COMMITTEE FOR THE PURPOSE OF ESTABLISHING THE COMPENSATION OF THE EXECUTIVE DIRECTOR. CHANGES IN THE SALARY OF THE EXECUTIVE DIRECTOR ARE DETERMINED AT A MEETING OF THE COMPENSATION COMMITTEE BASED ON THE RESULTS OF THE PERFORMANCE APPRAISAL, CHANGES IN THE SCOPE OF THE ROLE AND DUTIES OF THE EXECUTIVE DIRECTOR, CHANGES IN THE COMPLEXITY OF THE ENVIRONMENT IN WHICH THE ORGANIZATION OPERATES, AND AVAILABLE RESOURCES. THE EXECUTIVE COMMITTEE USES MARKET DATA FROM SIMILAR ORGANIZATIONS TO PERFORM PERIODIC MARKET REASSESSMENTS OF THE COMPENSATION LEVEL FOR THE EXECUTIVE DIRECTOR POSITION EVERY THREE YEARS, OR MORE OFTEN IF NEEDED.

Schedule O (Form 990 or 990-EZ) (2019)	Page <b>2</b>
Name of the organization HEALTH RESEARCH ALLIANCE, INC.	Employer identification number 68-0617198
FORM 990, PART VI, SECTION C, LINE 19:	
ALL GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND F	INANCIAL
STATEMENTS ARE AVAILABLE UPON WRITTEN REQUEST AT THE OFFIC	E OF THE
ORGANIZATION.	