

# Open Data Sharing – PLOS ONE's Perspective

Meg Byrne

Senior Editor, PLOS ONE

July 11, 2017

# Making data available fosters scientific progress

Data availability allows:

- Validation, replication, reanalysis, new analysis
- Reproducibility
- Increased value of research
- Reduction of the burden on authors
- Easier citation of data

[journals.plos.org/plosone/s/data-availability](https://journals.plos.org/plosone/s/data-availability)



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# PLOS Data Policy

PLOS journals require authors to make **all data underlying the findings described in their manuscript fully available without restriction** when at all possible.

When submitting a manuscript online, authors must provide a **Data Availability Statement** describing compliance with PLOS' policy. If the article is accepted for publication, the data availability statement will be published as part of the final article.

**Since March 2014**



# Data Availability Statement Published on Each Article

OPEN ACCESS PEER-REVIEWED

RESEARCH ARTICLE

## Patterns of Vertebrate Diversity and Protection in Brazil

Clinton N. Jenkins , Maria Alice S. Alves, Alexandre Uezu, Mariana M. Vale

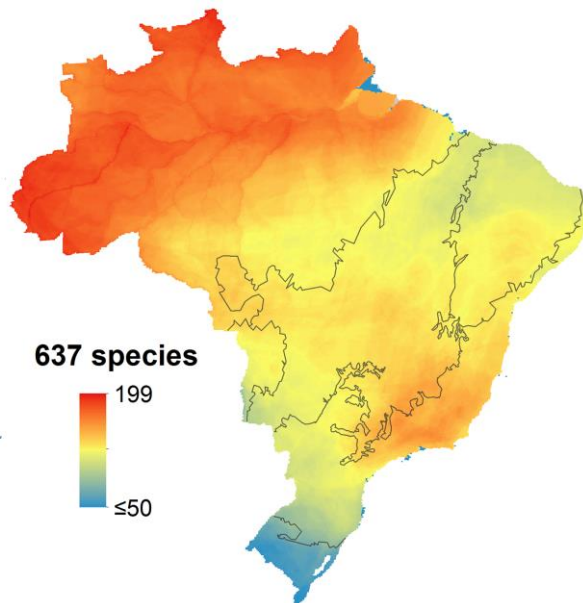
Published: December 17, 2015 • <https://doi.org/10.1371/journal.pone.0145064>

81  
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**Editor:** Adam Stow, Macquarie University, AUSTRALIA

**Received:** October 31, 2015; **Accepted:** November 29, 2015; **Published:** December 17, 2015

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**Data Availability:** Biodiversity results, including GIS-ready datasets for open-access use, are available online at <http://BiodiversityMapping.org> and the Dryad Digital Repository: (<http://dx.doi.org/10.5061/dryad.6rv61>).

**Funding:** CNJ received support from the Ciência Sem Fronteiras program (A025\_2013), MASA received support from Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq, process 08792/2009-2), and Fundação Carlos Chagas Filho de Amparo à Pesquisa do Estado do Rio de Janeiro (FAPERJ, process E-26/102.837868/2012). MMV received support from CNPq (grant no. 444704/2014-0), MCTI/CNPq/FAPs PELD (Grant No. 34/2012), CNPq PPBio/Rede BioM.A. (Grant No. 477524/2012-2), FAPERJ (grant no. E-26/111.577/2014) and RedeCLIMA Program (grant no. 01.0405.01). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

**Competing interests:** The authors have declared that no competing interests exist.

# What Data?

The policy applies to **the dataset used to reach the conclusions** drawn in the manuscript with **related metadata and methods, and any additional data required to replicate** the reported study findings in their entirety.

Authors need not submit your entire dataset, or all raw data collected during an investigation, but **they must provide the portion that is relevant to the specific study.**

# Minimal Dataset

- The **values** behind the means, standard deviations and other measures reported
- The **values** used to build graphs
- The **points** extracted from images for analysis.

Authors are not required to make all images available, but we do require a **sample Western Blot, Immunohistochemistry image, fMRI image, etc.** to be included with the submission files or in a public repository.

# Exceptions

- Data cannot be made publicly available for **ethical or legal reasons**, e.g., public availability would compromise patient confidentiality or participant privacy.
- Data deposition **could present some other threat**, such as revealing the locations of fossil deposits, endangered species, or farms/other animal enclosures etc.
- Data are owned by a **third party**.



Where?

## Data deposition in public repository

- Strongly recommended
- Discipline-specific repositories preferable
- Authors must specify DOIs or accession numbers

## Supporting information files

- Can accept up to ~100 MB of data
- Each file has its own DOI and is available in Figshare

## In the body of the manuscript

**Citation:** Drake JM, Kaul RB, Alexander L et al. (2015) Ebola Cases and Health System Demand in Liberia. *PLoS ONE* 10(2): e1002056. doi:10.1371/journal.pbio.1002056

**Academic Editor:** Steven Riley, Imperial College London

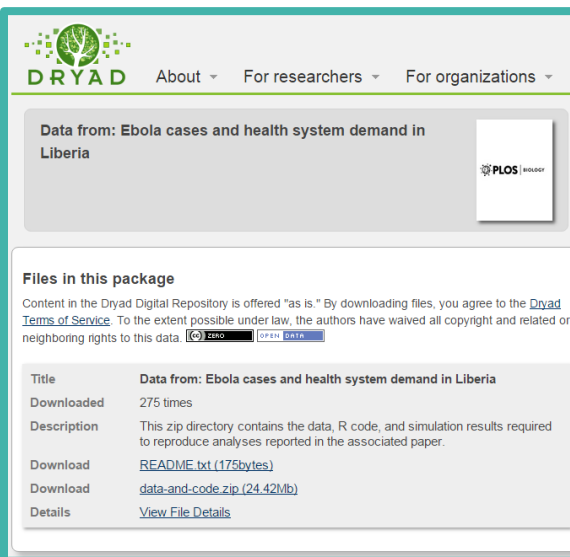
**Received:** October 31, 2014; **Accepted:** November 6, 2014

**Copyright:** © 2015 Drake et al. This is an open-access article distributed under the terms of the [Creative Commons Attribution License](#), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

**Data Availability:** All files are available at <http://doi.org/10.5061/dryad.17m5q>.

**Funding:** This research was funded by the National Science Foundation (http://www.nih.gov/). The content is solely the responsibility of the authors and does not necessarily reflect the official views of the National Science Foundation. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

**Competing interests:** The authors have no competing interests.



The screenshot shows the Dryad Digital Repository interface. At the top is the Dryad logo and navigation links: 'About', 'For researchers', and 'For organizations'. Below this, a header bar indicates the data source: 'Data from: Ebola cases and health system demand in Liberia' with a PLOS ONE logo. The main section is titled 'Files in this package' and includes a disclaimer: 'Content in the Dryad Digital Repository is offered "as is." By downloading files, you agree to the Dryad Terms of Service. To the extent possible under law, the authors have waived all copyright and related or neighboring rights to this data.' Below the disclaimer is a table with file details.

Title	Data from: Ebola cases and health system demand in Liberia
Downloaded	275 times
Description	This zip directory contains the data, R code, and simulation results required to reproduce analyses reported in the associated paper.
Download	<a href="#">README.txt (175bytes)</a>
Download	<a href="#">data-and-code.zip (24.42Mb)</a>
Details	<a href="#">View File Details</a>

**Copyright:** © 2014 Lemmon et al. This is an open-access article distributed under the terms of the [Creative Commons Attribution License](#), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.


**Data Availability:** The authors confirm that all data underlying the findings are fully available without restriction. The raw sequence data has been deposited in NCBI Sequence Read Archive with accessions SRX710894-711341 and the Gene Expression Omnibus (GEO) Series with accession number GSE61810 (<http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE61810>). Supplemental datasets have been made available from the Dryad Digital Repository: <http://dx.doi.org/10.5061/dryad.4kh67>.

**Funding:** This work was supported by the National Science Foundation grants

The data availability statement is openly available, and machine-readable as part of the PLOS search API



**Competing interests:** The authors have declared that no competing interests exist.

# Data in Supporting Information




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 OPEN ACCESS  PEER-REVIEWED

RESEARCH ARTICLE

## Regulation of Heat Exchange across the Hornbill Beak: Functional Similarities with Toucans?

T. M. F. N. van de Ven  R. O. Martin, T. J. F. Vink, A. E. McKechnie, S. J. Cunningham

Published: May 18, 2016 • <https://doi.org/10.1371/journal.pone.0154768>

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**Data Availability:** All relevant data are within the paper and its Supporting Information files.

# Data in Supporting Information

## Regulation of Heat Exchange across the Hornbill Beak: Functional Similarities with Toucans?

T. M. F. N. van de Ven , R. O. Martin, T. J. F. Vink, A. E. McKechnie, S. J. Cunningham

Published: May 18, 2016 • <http://dx.doi.org/10.1371/journal.pone.0154768>

S1 Data.XLSX

	1	2	3	4	5	6
1	Bird ID	Sex	Bill height (mm)	Bill surface (mm <sup>2</sup> )	Bill surface (m <sup>2</sup> )	Body mass (g)
2	3	1	30.943	1707.055	0.00341411	223.7
3	4	1	30.427	1818.746	0.003637492	229.5
4	8	1	30.773	1647.224	0.003294448	235.3
5	9	1	30.711	1947.31	0.00389462	237.3
6	10	1	29.249	1696.357	0.003392714	237.4
7	13	1	29.542	1844.555	0.00368911	252.5
8	14	1	34.766	2065.919	0.004131838	270.4
9	15	1	27.996	1608.615	0.00321723	258.9
10	17	1	29.922	1727.775	0.00345555	231.4

Fig1

Fig4, Table1

S2, Table 2

S3, Table 3

Table 4

S4



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1 / 6



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Numerical data used in preparation of Figs 1 and 4; Tab  
Figs.  
(XLSX)

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# Data in Supporting Information

## Regulation of Heat Exchange across the Hornbill Beak: Functional Similarities with Toucans?

T. M. F. N. van de Ven , R. O. Martin, T. J. F. Vink, A. E. McKechnie, S. J. Cunningham

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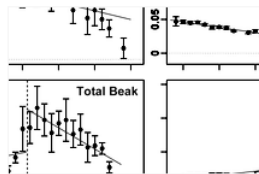
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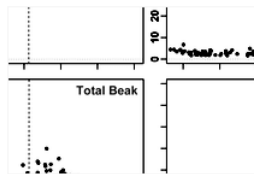


DATASET

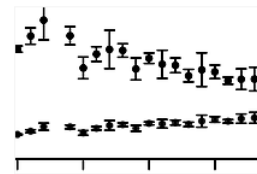
S1 Data.XLSX (96.28 kB)



S1 Fig.TIF (1.18 MB)



S2 Fig.TIF (1.11 MB)



S3 Fig.TIF (424.14 kB)

physical work of the bird (W<sub>bird</sub>), T<sub>air</sub> and T<sub>beak</sub> are the radiative surface temperature of the surface of the body part and the surface of the beak (°C). A small fan in the chamber provided the bird with fresh air and prevented a decrease in oxygen levels and an accumulation of heat in the chamber during the experiment. The movement of air caused by the fan did not create a measurable air flow and therefore convective heat transfer occurred via free convection and was calculated as:

$$h_{conv} = k(T_{air} - T_{beak})$$

Whereby A<sub>beak</sub> represents the surface area of each body part, T<sub>beak</sub> is the surface temperature of the body part (°C) and T<sub>air</sub> is the air temperature (°C). h<sub>conv</sub> is the convective heat transfer coefficient and can be calculated as follows:

$$h_{conv} = \frac{Q_{conv}}{A_{beak} \Delta T}$$

S1 Text.DOCX (22.28 kB)



S1 Video.MP4 (4.98 MB)

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van de Ven, T. M. F. N.; Martin, R. O.; Vink, T. J. F.; McKechnie, A. E.; Cunningham, S. J. (2016): Regulation of Heat Exchange across the Hornbill Beak: Functional Similarities with Toucans?. figshare.

<https://dx.doi.org/10.1371/journal.pone.0154768>

Retrieved: 18 27, May 23, 2016 (GMT)

Place your mouse over the citation text to select it



# Restricted Data

 OPEN ACCESS  PEER-REVIEWED

RESEARCH ARTICLE

## Elephant Management in North American Zoos: Environmental Enrichment, Feeding, Exercise, and Training

Brian J. Greco , Cheryl L. Meehan, Lance J. Miller, David J. Shepherdson, Kari A. Morfeld, Jeff Andrews, Anne M. Baker, Kathy Carlstead, Joy A. Mench

Published: July 14, 2016 • <https://doi.org/10.1371/journal.pone.0152490>

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**Data Availability:** For reasons relating to protection of the facilities and animals included in this study, access restrictions apply to the individual-level data underlying the findings. A data set of de-identified, population-level data is available at doi: [10.6084/m9.figshare.3383554](https://doi.org/10.6084/m9.figshare.3383554).

# Restricted Data

OPEN ACCESS PEER-REVIEWED

RESEARCH ARTICLE

## Can machine-learning improve cardiovascular risk prediction using routine clinical data?

Stephen F. Weng  , Jenna Reps , Joe Kai , Jonathan M. Garibaldi , Nadeem Qureshi 

Published: April 4, 2017 • <https://doi.org/10.1371/journal.pone.0174944>

107  
Save

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Citation

30,524  
View

540  
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**Data Availability:** This dataset contains patient level health records with intellectual property rights held by The Crown copyright, which is subject to UK information governance laws. The authors will make their data available upon specific requests subject to the requestor obtaining ethical and research approvals from the Clinical Practice Research Datalink Independent Scientific Advisory Committee (<https://www.cprd.com/intro.asp>) at the UK Medicines and Health Products Regulatory Agency.

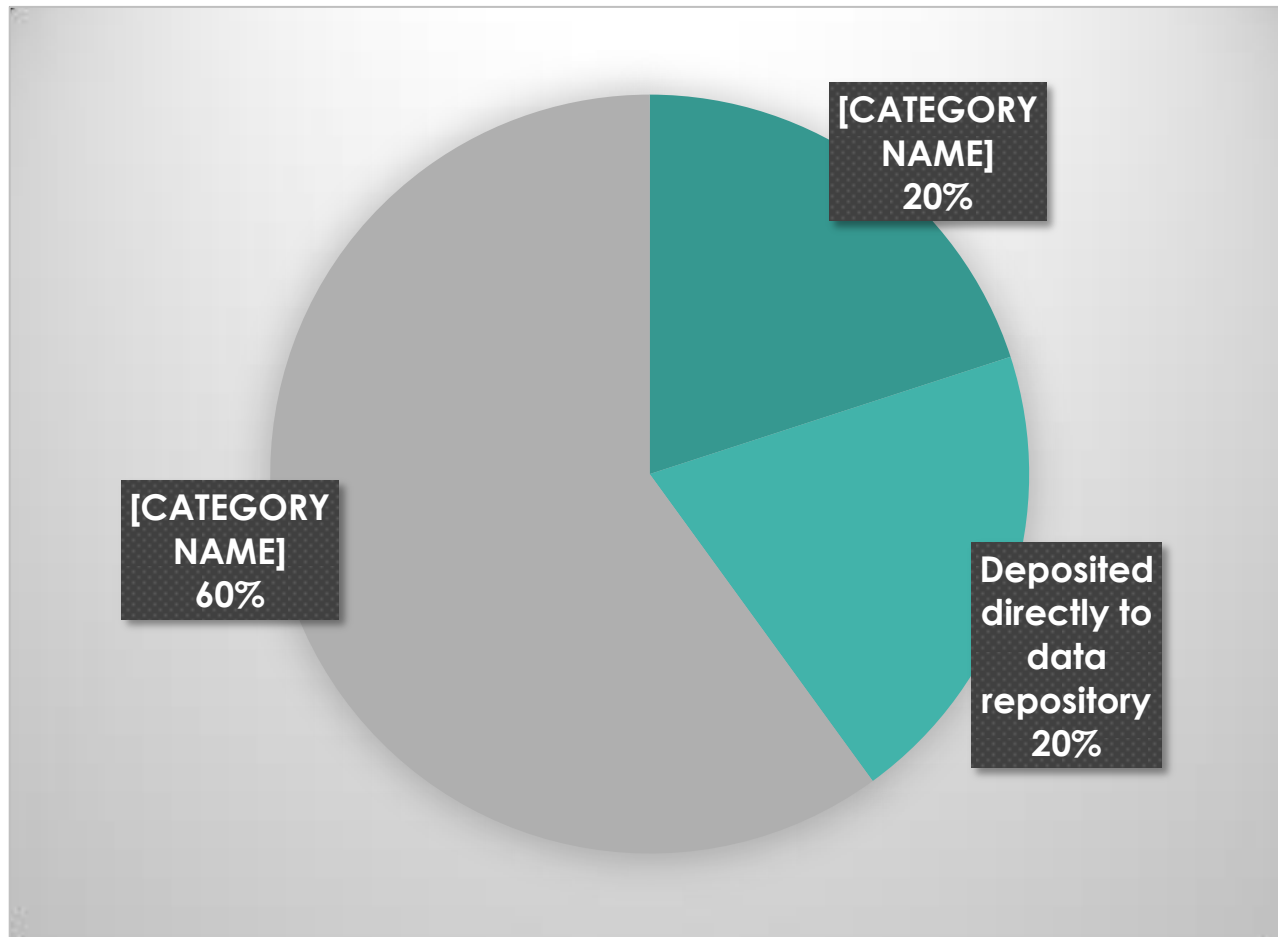
- Authors state
  - 1) Reasons for restrictions on making data publicly available.
  - 2) Contact information or instructions for requesting the data.
- Statement considered by Editor, Reviewers, and Journal Staff during review process.

> 70,000

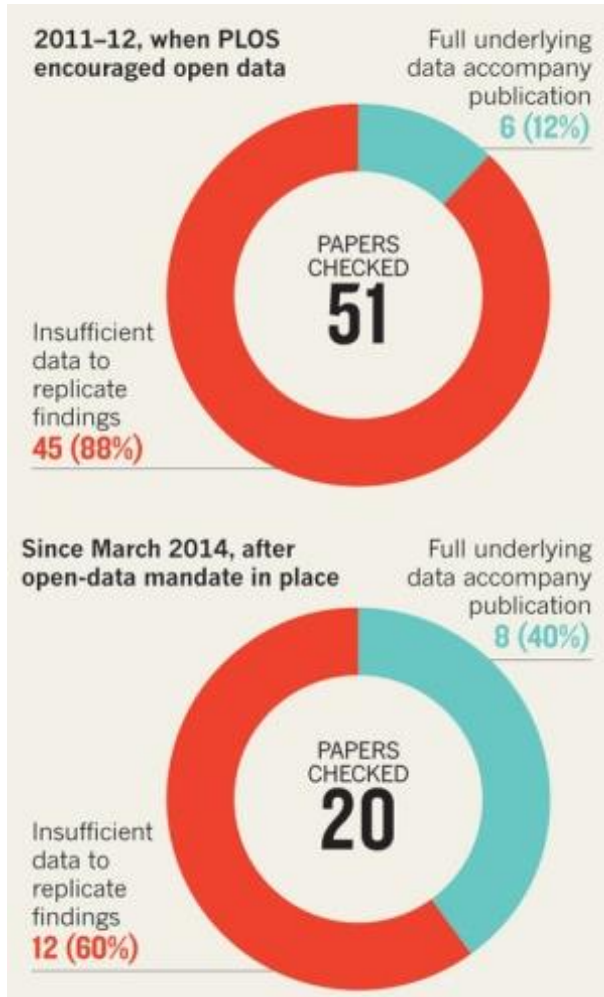
papers published with a data  
availability statement



# Where are the data (PLOS ONE)?



# Impact of Data Policy



**An increase in data sharing from 12% to 40%**

**Not seeing full compliance but we are seeing a significant improvement**

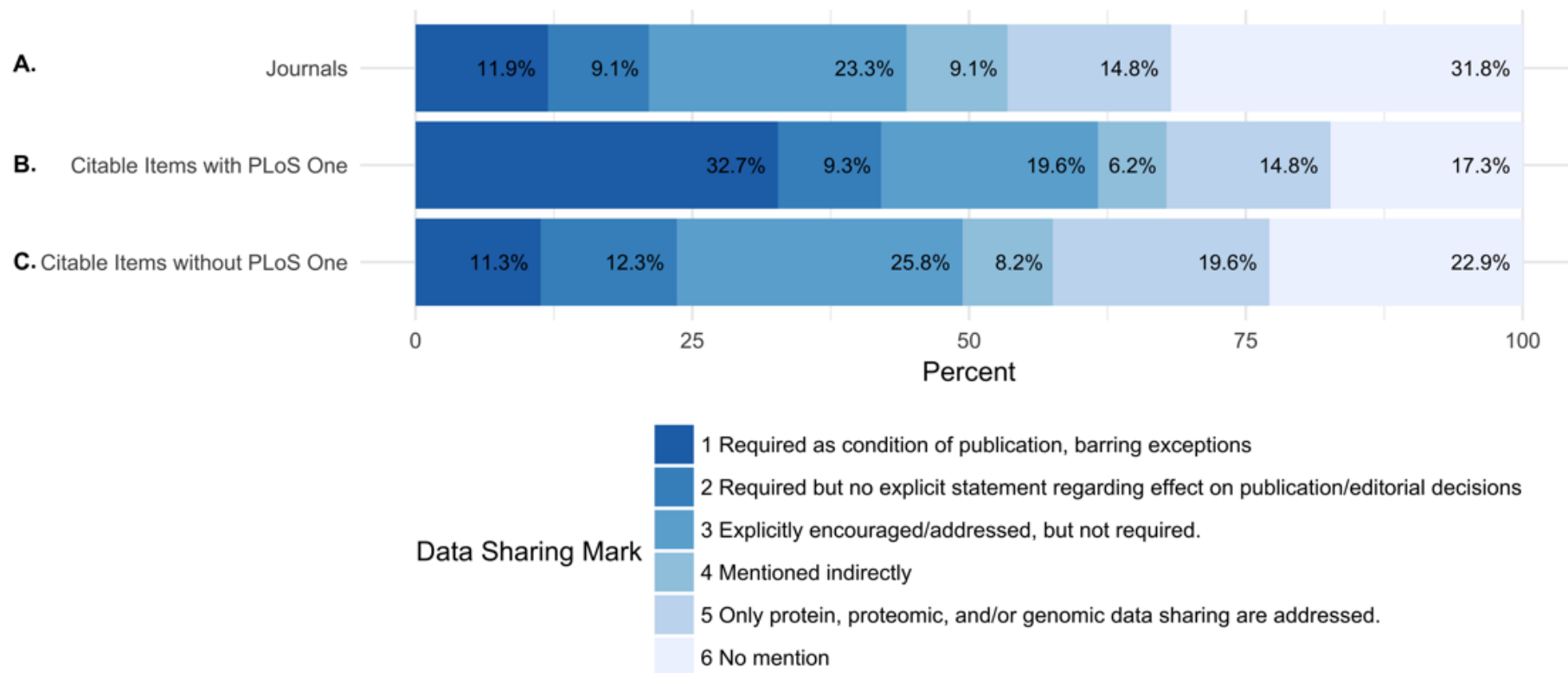
**Recent analysis saw an increase to 67% (Tim Vines, personal communication)**

Source: 'Confusion over publisher's pioneering open-data rules' *Nature* **515**, 478 (27 November 2014) doi:10.1038/515478a

# PLOS ONE data checks

- **At submission**
  - Ask authors for initial data available statement
  - Check for unacceptable restrictions
- **During review**
  - Academic Editors and Reviewers assess underlying data
  - Send additional information authors
- **At accept**
  - Check all data availability statements
  - Check clinical datasets for potentially identifying information
- **Post-publication**
  - Follow up with authors as needed

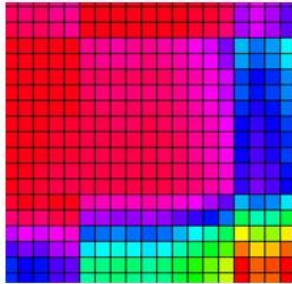
# PLOS ONE significantly increases citable biomedical research items with open data



From Vasilevsky NA, Minnier J, Haendel MA, Champieux RE. (2017)  
Reproducible and reusable research: are journal data sharing policies  
meeting the mark?  
PeerJ 5:e3208 <https://doi.org/10.7717/peerj.3208>

# PLOS ONE Datasets Collection: Noteworthy Examples Across Disciplines

## Social Networks



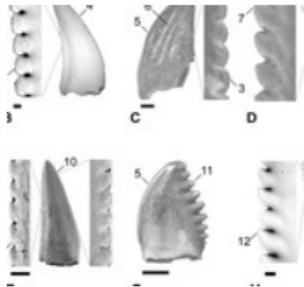
Temporal Patterns of Happiness and Information in a Global Social Network: Hedonometrics and Twitter

Peter Sheridan Dodds, Kameron Decker Harris, Isabel M. Kloumann, Catherine A. Bliss, Christopher M. Danforth

**PLOS ONE:** 07 Dec 2011

Sune Lehmann: "This paper has made an MTurk generated list of word-valences openly available to the research community. As a first-cut sentiment analysis method, this dataset is invaluable and I've downloaded it at least dozens of times to use for both teaching & research."

## Paleontology



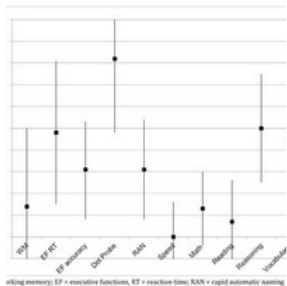
Multivariate Analyses of Small Theropod Dinosaur Teeth and Implications for Paleoeological Turnover through Time

Derek W. Larson, Philip J. Currie

**PLOS ONE:** 23 Jan 2013

Andrew Farke: "This paper assembles a massive dataset of measurements for over 1,000 teeth of small carnivorous dinosaurs, which has been really useful to help track changes in dinosaur diversity and distribution prior to the big extinction at the end of the Mesozoic."

## Applied Psychology



Closing the Achievement Gap through Modification of Neurocognitive and Neuroendocrine Function: Results from a C...

Clancy Blair, C. Cybele Raver

**PLOS ONE:** 12 Nov 2014

In this cluster randomized controlled trial, Blair and Raver found that teaching self-regulation can help lower achievement gaps in kindergarten, particularly in high-poverty schools. The individual-level [data](#) from over 750 children in 29 schools are available in Dryad.

# Guidance for researchers

## **Data policy FAQs**

<http://journals.plos.org/plosone/s/data-availability#loc-faqs-for-data-policy>

## **Preparing clinical data for publication**

<http://journals.plos.org/plosone/s/data-availability#loc-clinical-data>

## **“Ten Simple Rules for the Care and Feeding of Scientific Data”**

## **“Ten Simple Rules for Creating a Good Data Management Plan”**

## **“Sharing Research Data and Intellectual Property Law: A Primer”**

## **“Identifiers for the 21st century: How to design, provision, and reuse persistent identifiers to maximize utility and impact of life science data”**

# Recommended repositories

<http://journals.plos.org/plosone/s/data-availability#loc-recommended-repositories>

## Discipline-specific repositories

Biochemistry	Neuroscience	Social Sciences
Biomedical Sciences	Omics	Structural Databases
Marine Sciences	Physical Sciences	Taxonomic & Species Diversity
Model Organisms	Sequencing	Unstructured and/or Large Data

## Cross-disciplinary repositories

- › Dryad Digital Repository
- › figshare
- › Harvard Dataverse Network
- › Open Science Framework
- › Zenodo



**Institutional repositories adhering to best practices**



# Continued discussion

## Open Data

In the spirit of [Open Con](#) and highlighting the state of Open Data, PLOS is proud to release our Open Data Collection. In collaboration with our external advisor, Melissa Haendel, we have selected articles published in PLOS journals, with the aim to highlight the broad scope of research articles, guidelines, and commentaries about data sharing, data practices, and data policies from different research...

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[“Willingness to Share Research Data Is Related to the Strength of the Evidence and the Quality of Reporting of Statistical Results”](#)

[“Sharing Detailed Research Data Is Associated with Increased Citation Rate”](#)

[“Ethical Challenges of Big Data in Public Health”](#)

[“Can Data Sharing Become the Path of Least Resistance?”](#)

[“Making Progress Toward Open Data: Reflections on Data Sharing at PLOS ONE”](#)

```
function condition() {  
  // Ne rien faire mode edit + preload ?/  
  if( encodeURI(component(document.location).search(/&preload=/)) != -1 ) re  
  // /preload/  
  
  if ( /<pre>/.test(document.location) ) return;  
  var diff = document.location.search.substr(1).split('&');  
  var i = 0;  
  var tmp; var name;  
  while ( i < diff.length )  
  {  
    tmp = diff[i].split('=');  
    name = tmp[0];  
    value = tmp[1];  
    if ( name == 'preload' )  
      document.location = document.location + '&preload=' + value;  
    i++;  
  }  
}
```

# <OPEN DATA>



# Institutional support for researchers: Examples



<http://www.data.cam.ac.uk/>

 dash |  UCSF DataShare



<http://guides.ucsf.edu/datamgmt/share>  
<https://datashare.ucsf.edu/stash/>

# Resource for funders

## POPULAR RESOURCES

### Implementing an Open Data Policy: A SPARC Primer for Research Funders

Open Data

\*Complements work that SPARC did with the Health Research Alliance  
<https://sparcopen.org/our-work/implementing-an-open-data-policy/>

Funders can make a significant impact.

By the time authors submit articles to a journal, it is often too late to make data shareable.

# Many questions remain

- How long should researchers store data?
- How much data are needed to replicate a study?
- How should materials sharing differ?
- How do we handle software/code?
- Do we need better/more aligned consenting for patient studies?
- What are best practices for data access committees?
- How can we preserve obsolete formats?
- How should data be cited and authors credited?

Many groups thinking about these questions

