GREAT/GRAND Community Forum
NIMHD Director’s Update

Eliseo J. Pérez-Stable, MD
Director of the National Institute on Minority Health and Health Disparities
July 9, 2020

Get Involved with GREAT and GRAND

Know someone not currently in GREAT or GRAND who might benefit from these conversations and networking? Tell them to email GREAT@aamc.org or GRAND@aamc.org and ask to join the group.

GREAT and GRAND monthly community calls bring group members together to discuss how their institutions are responding to the coronavirus. To be invited, email Sr. Administrative Associate Emily Outtarac at eouttarac@aamc.org.

Next Community Forum on August 11 1:00 – 2:00 pm ET with AAMC Sr. Director of Government Relations Tannaz Rasouli and Sr. Legislative Analyst Dr. Christa Wagner.
Research Opportunities and Challenges from NIMHD

July 9, 2020
AAMC GREAT/GRAND Community Webinar
Eliseo J. Pérez-Stable, M.D.
Director, National Institute on Minority Health and Health Disparities
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Health Disparity Populations

- Racial/ethnic minorities defined by OMB
- Less privileged socio-economic status
- Underserved rural residents
- Sexual gender minorities
- A health outcome that is worse in these populations compared to a reference group defines a disparity
- Social disadvantage results in part from being subject to discrimination, and underserved in health care
Office of Management and Budget Census Race/Ethnic Categories

• African American or Black
• Asian
• American Indian or Alaska Native
• Native Hawaiian or other Pacific Islander
• White
• More than one race: 2.6% in 2010
• Latino or Hispanic (20 countries)

Life Expectancy in the U.S., 2014

<table>
<thead>
<tr>
<th></th>
<th>Men</th>
<th>Women</th>
</tr>
</thead>
<tbody>
<tr>
<td>Whites</td>
<td>76.5</td>
<td>81.1</td>
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<tr>
<td>Blacks</td>
<td>72.0</td>
<td>78.1</td>
</tr>
<tr>
<td>Latinos</td>
<td>79.2</td>
<td>84.0</td>
</tr>
<tr>
<td>Total in 2017</td>
<td>76.1</td>
<td>81.1</td>
</tr>
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</table>

Arias E., NCHS data brief, CDC, (2016), no 244
Murphy SL, et al., NCHS data brief, CDC (2018), no 328
Relative Risk of All-Cause Mortality by US Annual Household Income Level in 2016


Colorectal Cancer Screening, NYTS, BRFSS, U.S., 2018

*MMWR March 13, 2020; 69:253-9*

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<thead>
<tr>
<th></th>
<th>All</th>
<th>Age 50-64</th>
<th>Age 65-75</th>
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<tbody>
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<td>No Insurance</td>
<td>40.1%</td>
<td>32.6%</td>
<td>55.7%</td>
</tr>
<tr>
<td>Whites</td>
<td>71.0%</td>
<td>65.7%</td>
<td>80.7%</td>
</tr>
<tr>
<td>Latino/as</td>
<td>56.1%</td>
<td>50.6%</td>
<td>68.5%</td>
</tr>
<tr>
<td>Blacks</td>
<td>70.0%</td>
<td>65.1%</td>
<td>79.7%</td>
</tr>
<tr>
<td>Asians/PI</td>
<td>64.8%</td>
<td>59.1%</td>
<td>76.4%</td>
</tr>
<tr>
<td>AI/AN</td>
<td>62.1%</td>
<td>55.1%</td>
<td>76.6%</td>
</tr>
</tbody>
</table>
FY 19 Funding Distribution

Research Centers in Minority Institutions

1. Charles R. Drew University of Medicine and Science, Los Angeles
2. University of Texas at El Paso
3. Morehouse School of Medicine, Atlanta
4. Clark Atlanta University, Atlanta
5. Florida A&M University, Tallahassee
6. University of California, Riverside
7. Howard University, Washington, D.C.
8. Ponce School of Medicine, Puerto Rico
9. Morgan State University, Baltimore
10. San Diego State University, California
11. Xavier University of Louisiana, New Orleans
12. Florida International University, Miami
13. Meharry Medical College, Nashville
14. North Carolina Central University, Durham
15. Northern Arizona University, Flagstaff
16. Tuskegee University, Alabama
17. University of Hawaii at Manoa
18. University of Puerto Rico Medical Sciences
NIMHD FY 19 Competing Awards

• >90 Loan Repayment Awards
• $3m+ towards new Youth Violence research
• Support to Multi-Center AIDS Cohort Study/ Women's Interagency HIV Study Cohort
• Support to the Tribal Epidemiology Centers
• Jackson Heart Study and Hispanic Community Health Study/Study of Latinos support with NHLBI
• Support for CFARS and Adelante program

NIMHD Research Funding Opportunity Announcements

• Immigrant Populations: etiology/interventions
• Disparities in Surgical Care and Outcomes
• Social Epigenomics: Re-issued
• Sleep Disparities
• Liver Cancer and Chronic Liver Disease
• Opioid Use Disorders (separate from HEAL)
• Lung Cancer Etiology, Screening and Care
• Health Information Technologies
• Post Disaster Impacts on Health and Health Care
• Management of diabetes in health disparity populations
Minority Health and Health Disparities in Maternal Mortality and Morbidity

RFA-MD-20-008 aims to support research that tests clinical, social behavioral and health care system interventions to address racial disparities in maternal mortality and morbidity (MMM) in the U.S.

Learn more at http://nimhd.nih.gov/funding

Rural Health Disparities Research Resource Hubs

NOT-MD-20-010 aims to understand challenges to conducting rural health disparities research, particularly for early stage investigators

• Supplements to current Centers of Excellence and RCMI awardees to develop multi-sectoral coalitions to enhance capacity to conduct rural health disparities research.

• Initiative is one of NIH’s contributions to the HHS Rural Health Strategic Plan.

• If successful, this program can serve as a pilot for a larger initiative involving other NIH ICs and Federal partners.
COVID-19 and Racial/Ethnic Disparities

- Numerous reports of disproportionate burden of COVID-19 on racial and ethnic minority populations have emerged
- Possible underlying causes of this burden related to long-standing disparities and disadvantage, higher rates of co-morbid conditions, higher proportions of public facing jobs, and crowding in housing and communities
- Imperative need for implementing prevention and healthcare strategies aligned with the needs of these communities to address effects of pandemic and mitigation efforts as well as underlying inequities

Impact of COVID-19 Outbreak on Minority Health and Health Disparities

NOT-MD-20-019 is soliciting research with health disparity populations that seeks to understand:
- How state and local policies and initiatives mitigate or exacerbate disparities in health services use and health outcomes
- The role that community-level protective and resilience factors and interventions have in mitigating the effects of the sector disruptions that the COVID-19 outbreak causes
- How behavioral and/or biological mechanisms may contribute to COVID-19 manifestations

Learn more at https://grants.nih.gov/grants/guide/notice-files/NOT-MD-20-019.html
Webb Hooper M, Nápoles AM, Pérez-Stable EJ

- Published online Monday, May 11, 2020
- The article addresses the disproportionate burden of COVID-19 on racial and ethnic minority populations
- Possible underlying causes of this burden related to longstanding disparities and disadvantage, higher rates of co-morbid conditions and crowding in housing and communities
- Imperative need for implementing prevention and healthcare strategies aligned with the needs of these communities to address effects of pandemic and mitigation efforts as well as underlying inequities

NIH Testimony on RadX Plans: New Tests for COVID-19

May 7, 2020
- NIH Director Francis Collins, M.D., Ph.D., and Biomedical Advanced Research and Development Authority Acting Director Gary Disbrow, Ph.D., testified

Watch at https://www.help.senate.gov/hearings/shark-tank-new-tests-for-covid-19
RADx-UP Strategies

• Understand factors that contribute to COVID-19 disparities and implement interventions to reduce these disparities

• Expand capacity to test broadly for viral nucleic acids in the population that is most affected including asymptomatic persons

• Implement mitigation strategies based on isolation and contact tracing to limit community transmission

• Anticipate opportunity to evaluate and distribute vaccines and potential therapeutic candidates

• Opportunity to deploy validated point of care tests as these are available including self-test methods and use of saliva samples

RADx-Underserved Populations (RADx-UP) Project – $500M

Goal: Leverage existing community partnerships to implement culturally relevant testing strategies in underserved and vulnerable populations

Phase I (FY20-21, $250M):

Phase Ia:

• Coordination and Data Collection Center (CDCC) –$15M per year, 1 site for 4 y; new U24 cooperative agreement managed in NIMHD

• Collaborative network of research centers and large networks with established community-engagement experience in underserved populations– up to $5M/site, ~25 sites; supplements

Phase Ib:

• Collaborative network of clinical research grants across the country –$1M/site, up to 30 sites; competitive revisions or new R01s

• Social, Ethical, and Behavioral Implications (SEBI) program –$5M total, 5-8 sites at $400,000 each; competitive revisions/new R01s
OD Strategy for RADx

RADx-Underserved Populations (RADx-UP) Project – $500M

Phase II (FY22-24, $200M):
• Continue SEBI program – up to $5M, 5-8 sites; competitive revisions/new R01s
• Renewal or expansion of Phase I components + new awards for synthetic network of clinical research sites and centers – up to $245M; competitive revisions/new awards

Anticipated Timeline:
• Phase I FOAs published June 12
• Phase I awards made by end of FY20: September
• Phase II awards made in FY21/22

Achieving Health Equity in Preventive Services

• Pathways to Prevention (P2P) Workshop held June 19-20, 2019 and led by the NIH Office of Disease Prevention with support from NIMHD and other NIH Institutes
• Focus on heart disease, cancer and diabetes that account for 70% of deaths and cost in health
• Three publications in Annals of Internal Medicine January 2020: Position paper, Review and Editorial
• 26 recommendations highlight research gaps in achieving equity to improve implementation of proven services to reduce disparities in preventable conditions
Seeking a Common Currency for Studying Social Determinants of Health Across NIH

Lack of standard measures in SDOH presents a barrier for behavioral, clinical, and translational research

- For clinicians, knowledge of SDOH can improve patient care and quality of life
- For researchers, adoption of standard measures will
  - promote collaborations with clinicians and patients
  - Provide context for understanding health outcomes
  - accelerate translational research

Standard SDOH Measures can improve understanding of causes of health inequities and effective interventions to reduce disparities

Individual Social Determinants of Health

- Age, gender, race/ethnicity, SES, occupation
- National origin or family background
- Urban or rural residence or geographic region
- Cultural identity, religiosity, spirituality
- Immigrant, generation, documentation
- Language proficiency, acculturation
- Literacy, numeracy, food insecurity
- Sexual orientation, gender identity
- Disability — developmental, mental, physical
Structural Social Determinants of Health

- Access to affordable housing – homelessness
- Green space and sidewalks
- Access to broadband internet and Wifi
- Transportation — public and individual
- Schools and educational institutions
- Employment and economic opportunity
- Public safety and criminal justice issues
- Access to healthy and affordable food

Common Data Elements for Social Determinants of Health

Toolbox of Measures on SDOH

Launch
May 11, 2020

Adoption of CDEs and standard measures will promote and facilitate:
- Data harmonization.
- Domestic and international cross-study analysis.
- Accelerated translational research.
- Greater understanding of the causes of health disparities.
- Effective interventions to reduce disparities.
Core Collection

- Access to Health Services
- Annual Family Income
- Biological sex assigned at birth
- Birthplace
- Current Address
- Age
- Educational Attainment
- Employment Status
- English Proficiency
- Ethnicity
- Food Insecurity
- Sexual orientation
- Health Insurance Coverage
- Health Literacy
- Occupational Prestige
- Race
- Gender Identity

Specialty Collection: Structural

- Air Quality Index
- Concentrated Poverty
- Educational Attainment - Community
- Environmental Justice
- Food Swamp
- Percent Unionized for Non-Agricultural Labor Force
- Race/Ethnic Residential Segregation
  - Race/Ethnic Residential Segregation - American Community Survey
  - Race/Ethnic Residential Segregation - Separation (S) Index, Unbiased
  - Race/Ethnic Residential Segregation - U.S. Census
- Social Vulnerability
Specialty Collection: Individual

- **Access to Health Technology**
- Discrimination
- Health Numeracy
- Job Insecurity
- Wealth
- Spirituality
- Disparate Health Care Quality

### Racial and Ethnic Categories of PIs, NIMHD and NIH, FY 2019

<table>
<thead>
<tr>
<th>Race/Ethnicity</th>
<th>NIMHD—R01s</th>
<th>NIMHD—Ks</th>
<th>NIH—R01s</th>
<th>NIH—Ks</th>
</tr>
</thead>
<tbody>
<tr>
<td>AI/AN</td>
<td>1.5%</td>
<td>0%</td>
<td>0.2%</td>
<td>0.2%</td>
</tr>
<tr>
<td>Asian</td>
<td>13.9%</td>
<td>30.4%</td>
<td>21.7%</td>
<td>20.4%</td>
</tr>
<tr>
<td>Blacks/AA</td>
<td>13.6%</td>
<td>21.7%</td>
<td>1.3%</td>
<td>4.8%</td>
</tr>
<tr>
<td>NH/OPI</td>
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<td>0%</td>
<td>0.1%</td>
<td>0%</td>
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<tr>
<td>White</td>
<td>60.5%</td>
<td>30.4%</td>
<td>68.6%</td>
<td>64%</td>
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<tr>
<td>Latino/a</td>
<td>10.2%</td>
<td>17.4%</td>
<td>4.3%</td>
<td>7.2%</td>
</tr>
<tr>
<td>&gt; 1 race or unknown</td>
<td>2.7% /7.8%</td>
<td>4.3% /13%</td>
<td>1.0% /7%</td>
<td>2.4% /8.1%</td>
</tr>
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### NIMHD Success Rates by Mechanism and Race/Ethnicity, 2016-2019 Combined

<table>
<thead>
<tr>
<th>Race/Ethnicity</th>
<th>R01 Applications</th>
<th>Awards</th>
<th>Success Rate</th>
<th>Other RPGs Applications</th>
<th>Awards</th>
<th>Success Rate</th>
<th>Research Centers Applications</th>
<th>Awards</th>
<th>Success Rate</th>
<th>Research Careers Applications</th>
<th>Awards</th>
<th>Success Rate</th>
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</thead>
<tbody>
<tr>
<td>American Indian or Alaska Native Only</td>
<td>14</td>
<td>3</td>
<td>21.4%</td>
<td>142</td>
<td>13</td>
<td>9.8%</td>
<td>14</td>
<td>0</td>
<td>0%</td>
<td>16</td>
<td>0</td>
<td>0%</td>
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<tr>
<td>Asian Only</td>
<td>144</td>
<td>23</td>
<td>16.0%</td>
<td>143</td>
<td>15</td>
<td>10.5%</td>
<td>14</td>
<td>1</td>
<td>71.4%</td>
<td>14</td>
<td>1</td>
<td>71.4%</td>
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<tr>
<td>Black or African American Only</td>
<td>104</td>
<td>15</td>
<td>14.5%</td>
<td>139</td>
<td>6</td>
<td>4.3%</td>
<td>12</td>
<td>5</td>
<td>41.7%</td>
<td>12</td>
<td>3</td>
<td>25.0%</td>
</tr>
<tr>
<td>Native Hawaiian or Other Pacific Islander Only</td>
<td>50</td>
<td>0</td>
<td>0.0%</td>
<td>50</td>
<td>1</td>
<td>20.0%</td>
<td>10</td>
<td>0</td>
<td>0%</td>
<td>20</td>
<td>0</td>
<td>0%</td>
</tr>
<tr>
<td>White Only</td>
<td>464</td>
<td>80</td>
<td>17.3%</td>
<td>472</td>
<td>53</td>
<td>11.3%</td>
<td>16</td>
<td>7</td>
<td>43.8%</td>
<td>16</td>
<td>10</td>
<td>62.5%</td>
</tr>
<tr>
<td>Mixed/More than one race</td>
<td>215</td>
<td>35</td>
<td>16.3%</td>
<td>180</td>
<td>21</td>
<td>11.7%</td>
<td>18</td>
<td>8</td>
<td>44.4%</td>
<td>18</td>
<td>12</td>
<td>66.7%</td>
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<tr>
<td>Unknown/Withheld only</td>
<td>59</td>
<td>10</td>
<td>16.9%</td>
<td>100</td>
<td>6</td>
<td>6.0%</td>
<td>12</td>
<td>2</td>
<td>16.7%</td>
<td>6</td>
<td>2</td>
<td>33.3%</td>
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<tr>
<td>Ethnicity</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Hispanic/Latino</td>
<td>75</td>
<td>17</td>
<td>22.7%</td>
<td>119</td>
<td>18</td>
<td>15.4%</td>
<td>18</td>
<td>3</td>
<td>16.7%</td>
<td>18</td>
<td>3</td>
<td>16.7%</td>
</tr>
<tr>
<td>Mixed</td>
<td>128</td>
<td>19</td>
<td>14.8%</td>
<td>112</td>
<td>16</td>
<td>14.3%</td>
<td>41</td>
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<td>17.1%</td>
<td>41</td>
<td>7</td>
<td>17.1%</td>
</tr>
<tr>
<td>Not Hispanic/Latino</td>
<td>762</td>
<td>127</td>
<td>16.7%</td>
<td>719</td>
<td>64</td>
<td>8.9%</td>
<td>109</td>
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<td>15.6%</td>
<td>40</td>
<td>17</td>
<td>42.5%</td>
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<td>66</td>
<td>9</td>
<td>13.6%</td>
<td>177</td>
<td>4</td>
<td>2.3%</td>
<td>14</td>
<td>5</td>
<td>35.7%</td>
<td>14</td>
<td>2</td>
<td>14.3%</td>
</tr>
<tr>
<td>TOTAL</td>
<td>1034</td>
<td>172</td>
<td>16.6%</td>
<td>1120</td>
<td>106</td>
<td>9.5%</td>
<td>277</td>
<td>36</td>
<td>12.3%</td>
<td>24</td>
<td>5</td>
<td>20.8%</td>
</tr>
</tbody>
</table>

### Studies on Success Rate Gap

- **Ginther 2011**: Black/AA PIs 13% less likely to be funded in analysis of 83k R01s from PhDs
- **Ginther 2012**: Findings extended to MDs; gap was smaller at medical schools
- **Ginther 2016**: Black women PhDs more successful than men; Black men MDs more than women
- **Ginther 2018**: bibliometric differences explained half of gap from 2003-2006
- **Hoppe 2019**: “Topic choice” explained part of the gap but data not adjusted for IC award rates
- **Latinos** have not had a gap — just low numbers
- **Bias** is present but not found to be a causal factor
Programs at NIH to Address Workforce

- FIRST: Faculty Institutional Recruitment for Sustainable Transformation — Common Fund program coming soon
- MOSAIC: Maximizing Opportunities for Scientific and Academic Independent Careers: K99/R00 to promote diversity with a UE5 to Mentor and Coordinate: NIGMS with other Ics signed up
- Diversity Supplements to R01s/Centers
- Address challenges through discretionary funding decisions
- Address the overfunded PIs who are almost always entrenched White men that contribute to systemic barriers

Loan Repayment Program at NIMHD

- Equivalent to cash assistance interventions
- Comparison of awardees to non-successful applicants from 2005 to 2016 for L32/L60
- 1894 awardees vs 1868 unsuccessful applicants; about 72% women and 60% from URM
- LRP Recipients: 48% were awarded an NIH grant of any type compared with 26% as of 2019
- R01 recipients: 14% vs. 3%
- LRP is an intervention that promotes diversity of the biomedical workforce
NIMHD Health Disparities Research Institute

- A week-long intensive and engaging training experience launched in 2016
- Target early stage investigators and senior postdoctoral trainees
- Lectures by selected leading scientists in minority health and health disparities focused on 3 themes
- Mock grant review session using real applications
- Meetings with NIH scientific program staff engaged in minority health and health disparities research
- Consultations on the development of research interests into a K or R01 application, as well as research strategies and methodologies for proposed studies
- 204 participants in 4 years, about 60% URM

Special Issue of AJPH: New Perspectives to Advance Minority Health and Health Disparities Research

- Editor’s choice by NIMHD Director Dr. Eliseo J. Pérez-Stable and NIH Director Dr. Francis S. Collins
- Definitions for minority health, health disparities, and NIMHD Research Framework
- 30 research strategies in methods, measurement, etiology, and interventions
- Multi-year process with more than 100 authors, including NIH program officers and academic scientists
Categories of Racism/Discrimination

- **Interpersonal**: Most work done, good measures developed, associations established, most common

- **Structural**: History, culture, institutions, and codified practices that perpetuate inequity; research and systems construct to address disparities

- **Internalized**: How discrimination (as above) effects individuals who are not aware or sublimate; accept cultural or biological inferiority

Increasing State Minimum Wage Associated with Lower Suicide Rates

- Difference-in-difference models with data from 50 states and DC from 1990 to 2015 to examine state minimum wage laws

- A $1 increase in minimum wage associated with 3.4% – 5.9% decrease in suicide rate among adults aged 18-64 with a high school education or less

- Increases in state minimum wage also associated with reductions in low-birthweight births, infant mortality, and adult cardiovascular mortality
Insurance Status, Race and Breast Cancer Detection

- Diagnosis of stage I/II BC is curable and the goal of screening
- Retrospective cross-sectional population-based study using SEER on 177,075 women ages 40-64 with stage I/III/IV BC from 2010-2016
- Main outcome stage I/II vs. III
- Age 53.5 y, 83.7% had insurance, 16.3% uninsured/ Medicaid, 11.8% Black, 13.5% Latina, 0.6% AI/AN, 10.3% A/PI
- Risk of stage III: Medicaid/uninsured 20% vs 11%
- Adjusted for insurance and SES, OR by race/ethnicity: Blacks 1.29, Latinas 1.17, AI/AN 1.11
- Half of disparity mediated by insurance

Grant No. U54MD012523

Race and Ethnicity, Medical Insurance, and Within-Hospital Severe Maternal Morbidity Disparities

- Cross-sectional study using linked 2010–2014 New York City discharge and birth certificate data sets (N=5591,455 deliveries)
- Women insured by Medicaid compared with those with commercial insurance had similar risk for severe maternal morbidity within the same hospital
- Black women and Latinas had significantly higher risk for severe maternal morbidity than White women within the same hospital

<table>
<thead>
<tr>
<th>Insurance</th>
<th>Deliveries</th>
<th>Severe Maternal Morbidity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Commercial</td>
<td>221,479</td>
<td>2.01 (1.862)</td>
</tr>
<tr>
<td>Medicaid</td>
<td>358,697</td>
<td>2.80 (1.033)</td>
</tr>
<tr>
<td>Race-ethnicity</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black</td>
<td>121,067</td>
<td>4.15 (3.125)</td>
</tr>
<tr>
<td>Latina</td>
<td>177,768</td>
<td>2.85 (5.072)</td>
</tr>
<tr>
<td>White</td>
<td>101,099</td>
<td>1.46 (2.708)</td>
</tr>
<tr>
<td>Commercial insurance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black</td>
<td>30,687</td>
<td>3.73 (1.146)</td>
</tr>
<tr>
<td>Latina</td>
<td>31,517</td>
<td>2.24 (7.711)</td>
</tr>
<tr>
<td>White</td>
<td>117,750</td>
<td>1.54 (1.501)</td>
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<tr>
<td>Medicaid insurance</td>
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</tr>
<tr>
<td>Black</td>
<td>98,071</td>
<td>4.35 (1.038)</td>
</tr>
<tr>
<td>Latina</td>
<td>141,719</td>
<td>2.99 (6.227)</td>
</tr>
<tr>
<td>White</td>
<td>64,762</td>
<td>1.31 (6.501)</td>
</tr>
</tbody>
</table>

Data are n or % (n)
Access to Equitable Kidney Transplantation: Role of Policies

- Only 18.5% of the >500,000 ESRD patients in the US are wait-listed for a kidney transplant with large variability
- African Americans, women, and patients of low SES have poorer access to transplantation
- Compared to White patients, AAs were 37% less likely to be referred and 24% less likely to receive a kidney transplant
- Dialysis Facility data (2014-2017), among 7,346 facilities, the proportion of ESRD patients (<70 years of age) wait-listed ranged from 0% to 92% (Fig)

FIGURE: Variation in the proportion of ESRD patients waitlisted across the >7000 US dialysis facilities.

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Discussion

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Next GREAT/GRAND Community Forum

AAMC Legislative Update: August 11 1:00 – 2:00 pm ET
To be invited, email Sr. Administrative Associate Emily Outtarac at eouttarac@aamc.org

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