

# Value of ORCID for Funders

Sept. 13, 2022

## **ORCID US Community Staff**



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LYRASIS - ORCID US Community, DataCite US Community Program Leader for Persistent Identifier Communities Agenda



- What is ORCID?
  - ORCID iD
  - ORCID Record
  - ORCID API
- Benefits of ORCID for Funders
  - Streamlining workflows
  - Strengthening connections
  - Saving time & reducing administrative burden
- ORCID-enabled systems
  - ProposalCentral
  - Smart Simple
  - ORCID Affiliation Manager
  - Custom Integration
- ORCID US Community Membership



# What is ORCID?



# **ORCID** = **Open Researcher & Contributor ID**entifier

## ORCID Connecting Research and Researchers

lyrasis.org



The ORCID iD: a unique, persistent identifier free of charge to researchers



An **ORCID record** connected to the ORCID iD



A set of Application Programming Interfaces (APIs), as well as the services and support of communities of practice that enable interoperability between an ORCID record and member organizations so researchers can choose to allow connection of their iD with their affiliations and contributions



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ORCID provides free, unique identifiers for researchers.

## Sofia Maria Hernandez Garcia

ORCID iD: https://orcid.org/0000-0001-5727-2427



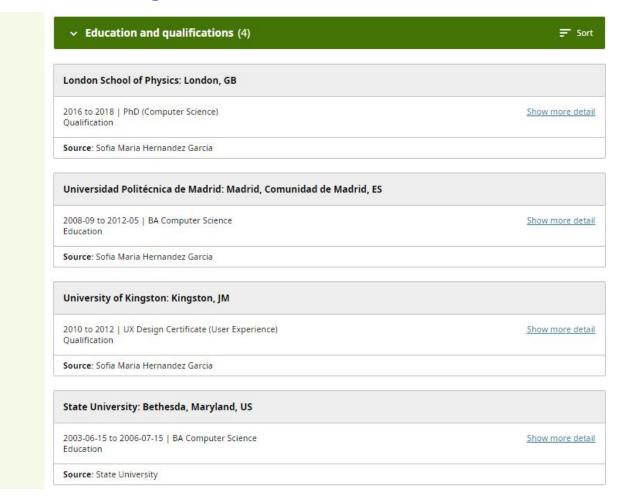


## bttps://orcid.org/0000-0001-5727-2427

(D) https://orcid.org/ 0000-0001-5727-242	27	Is this you? <u>Sign in to start editing</u> Published Name      Sofia Maria Hernandez Garcia      Name	Also known as	Printable version
		Sofia Garcia	S. M. Garcia, Sofia Maria Garcia	
Emails	>	Biography		
s.garcia@orcid.org		Sofia Maria Hernandez Garcia is used for testing and o	demonstrating ORCID records.	
		Activities		Collapse all
Website and social links	>			
Faculty profile webpage @sofiamhg		<ul> <li>Employment (2)</li> </ul>		<b>≓</b> Sort
		New Arizona State University: Sedona, Arizo	ona, US	
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United States				
		Source: ORCID via Assert-o-matic		



## https://orcid.org/0000-0001-5727-2427





## bttps://orcid.org/0000-0001-5727-2427



<ul> <li>Invited positions and distinctions (3)</li> </ul>	F
Royal Society of Arctic Studies: Iqaluit, Nunavut, CA	
2015   Rutherford Medal of Innovation (Iceberg - Thermodynamics LAB) Distinction	Show more d
Source: Sofia Maria Hernandez Garcia	
Kyoto Institute of Physics 東京工業大学: Kyoto, JP	
2012   Royal Medal (Royal Society of Kyoto) – (Royal Society of Kyoto) (Theoretical Physics) Distinction	Show more d
Source: Sofia Maria Hernandez Garcia	
École Polytechnique Bordeaux: Bordeaux, FR	
2013 to 2015   Professeure (Laboratoire d'Informatique) Invited position	Show more d
Source: Sofia Maria Hernandez Garcia	

Membership and service (2)

F Sort

Association of Personal & Research Idenifiers : Aukland, NZ

2017 to present Membership Show more detail

Source: Sofia Maria Hernandez Garcia



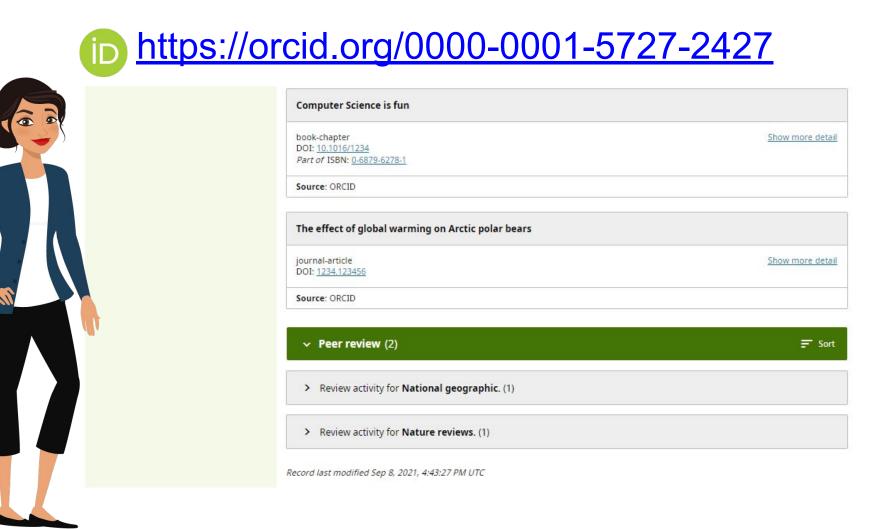
## https://orcid.org/0000-0001-5727-2427



<ul> <li>Funding (1)</li> </ul>	₹ So
Grant for ORCID	
2014 to present   Grant Wellcome Trust (London, United Kingdom)	Show more deta
Source: Sofia Maria Hernandez Garcia	
✓ Research resources (2)	₹ so
> Giant Laser Award	
> Archival images of glaciers	
√ Works (6)	<u></u> ≢ so
Thor: Conceptual Model Of Persistent Identifier Linking	
2016-03-31   report DOI: <u>10.5281/ZENODO.48705</u> <i>Funded by</i> GRANT_NUMBER: <u>H2020-EU.1.4.1.3</u>	Show more deta
Source: Sofia Maria Hernandez Garcia	
CASRAI and ORCID: Putting the Pieces together to Collaboratively Sup	port the Research Community
Procedia Computer Science 2014   journal-article DOI: 10.1016/j.procs.2014.06.045	Show more det

Part of ISSN: 1877-0509





### **Register for ORCID iD**



- ORCID iDs are free
- 30 seconds to register
- orcid.org/register
- Form:
  - Name
  - Email
  - Password
  - Visibility Settings
  - Notification Settings
  - Terms of Use
  - Not a Robot

#### Register for an ORCID iD

ORCID provides a persistent digital identifier that distinguishes you from every other researcher and, through integration in key research workflows such as manuscript and grant submission, supports automated linkages between you and your professional activities ensuring that your work is recognized.

Per ORCID's terms and conditions , you may only register for an ORCID ID for yourself.

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Last name (Dptional)		
Plimary email		
Addstonal email (Optimo)		
		0
Add another email     Processer		
	Ð	0
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Seal letter or symbol		
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	9	
this content and who can see it. More information on visibility settings. By default, who should be able to see information added to your ORCID Record?		
O 4 tveryone (67% of users choose this)		
O o Trusted parties (5% of users choose this)		
O fi Only me (8% of users thoose this)		
Notification settings		
	nber organization	s for permission
ORCID sends email notifications about items related to your account, security, and privacy, including requests from ORCID mer opticity your record, and changes made to your record by those organizations.		
update your record, and changes made to your record by those organizations.		
spelate your record, and changes made to your record by those organizations. You can also choose to receive emails from us about new features and tips for making the most of your OHOED record.	ord.	
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opdate your record, and changes made to your record by those organizations. You can also choose to reveive emails about new ORCID features and tips, far making the most of your ORCID record. Please send me quarterly emails about new ORCID features and tips. The record these emails, you will also more to verify your primary email adves. After you've registened, you can change your notification settings at any time in the account settings section of your ORCID rec Terms of Use I consent to the privacy pulscy and terms and conditions of use, including agreeing to my data being processed in the US an		icensible where
speciale your record, and changes made to your record by those organizations. You can also choose to receive emails from as about new features and tips for making the most of your ORCED record. Please send me quarterly emails about new ORCED features and tips. To receive these mosts, you will also send to verify your primary email adves. After you've registered, you can change your notification settings at any time in the account settings section of your ORCED rec Terms of Use 1 consent to the privacy pusicy and terms and conditions of use, including agreeing to my date being processed in the US an Public.		icecebie where





#### ✓ Works (6)

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DOI: <u>10.5281/ZENODO.48705</u> Funded by GRANT_NUMBER: <u>H2020-EU.1.4.1.3</u>	Export all works (6)	
Source: Sofia Maria Hernandez Garcia	<ul> <li>Set visibility</li> </ul>	Ō

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The more information you can include in your ORCID record, the more it can benefit you.

## **ORCID** data can include:

- Biographical information (including "also known as")
- Employment
- Education & Qualifications
- Invited positions & distinctions
- Memberships & service
- Funding
- Works
- (Peer Review activity)
- (Research Resources used)

## **Data from Organizations**



Tso, Chi Yan	← Employment (2)		It Sort
ORCID iD https://orcid.org/0000-0002-7725-2172	City University of Hong H Employment	Kong: HK, HK	
Print view 🕑	Source: City University of Hong Ko	ng	
Ellen C. Ramsey	Biography		
ORCID ID		epository Services at University of Virginia Library. Previously Institutional Repository Librarian and Knowledge	
<sup>©</sup> https://orcid.org/0000-0003-4520-4923	Integration Manager at Claude	e Moore Health Sciences Library, University of Virginia.	
🚔 Print view 🛿	← Employment (2)		It Sort
Also known as Ellen Ramsey, Ellen Catz Ramsey, Ellen J. Catz, E Ramsey, EC Ramsey	University of Virginia: Ch Employment	narlottesville, VA, US	
Websites & Social Links	Source: University of Virginia	★ Preferred source	

Ricardo Fuentes	← Education and qua	alifications (2)	<b>↓†</b> Sort
ORCID iD https://orcid.org/0000-0001-5187-0143	Boston College: Ch Education	nestnut Hill, MA	
🚔 Print view 🛛	Source: Boston College	★ Preferred source	

Peter Hunter	> Employment (1)		
ORCID ID	Education and qualifications (1)		
<sup>©</sup> https://orcid.org/0000-0001-9665-4145	✓ Membership and service (3)	lt.	Sort
Print view 😢	Marsden Fund: Wellington, NZ 2009-01 to 2011-12   Chair (Marsden Fund Council)		•
Websites & Social Links	Service		
Auckland Bioengineering Institute Mendeley profile	Source: Royal Society Te Apārangi	★ Preferred source	







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## Benefits of ORCID for Funders



## **ORCID** can make it easier for funders to:

- assess your awardee network and influence
- evaluate your grant and program outputs and impact





**ORCID** makes it easier for funders to...

- assess your awardee network and influence
- evaluate your grant and program outputs and impact

## ...by providing a shared, persistent way for funders to:

- 1) uniquely identify researchers and stay connected with alumni over time
- streamline collection of data about researchers and their activities, to help with evaluation, reporting, and assessment
- 3) confirm relationships with researchers in the wider research landscape



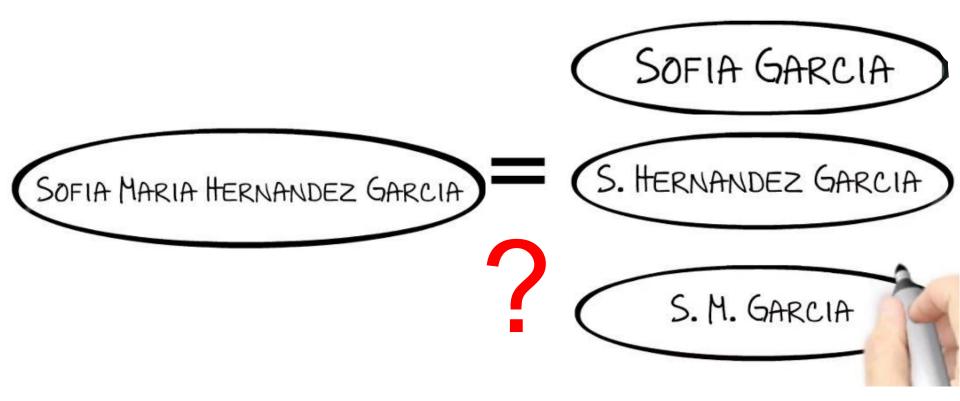


## **Uniquely Identify Researchers**

(and stay connected with alumni over time)



ORCID allows you to **uniquely identify your researchers** despite shared name, name changes, or variations in name





## WOULD THE REAL SOFIA GARCIA STAND UP?



**Researcher Identification** 



# WOULD THE REAL SOFIA GARCIA STAND UP?





## **ORCID API OAuth**

**Process** allows researchers to authorize permission for your organization to:

- Get their accurate ORCID iD number
- Write information to their ORCID record
- Read information from their ORCID record

Permissions last for 20 years (stay connected over time)

0	RCID Authorize acces
You a	e currently signed in as:
Mart	tin Zimmerman
https	://sandbox.orcid.org/0000-0003-4210-3115
Sign	out
Sma	rtSimple Software 🕐
has a recon	sked for the following access to your ORCID d:
C	Add/update your research activities (works, affiliations, etc)
C	Add/update other information about you (country, keywords, etc.)
0	Read your information with visibility set to Trusted Organizations
	Authorize access
	Deny access
ORCIE	norized, this organization will have access to your ) record, as outlined above and described in further in <b>ORCID's privacy policy.</b>
You ca	in manage access permissions for this and other



## ORCID is broadly used by researchers around the world

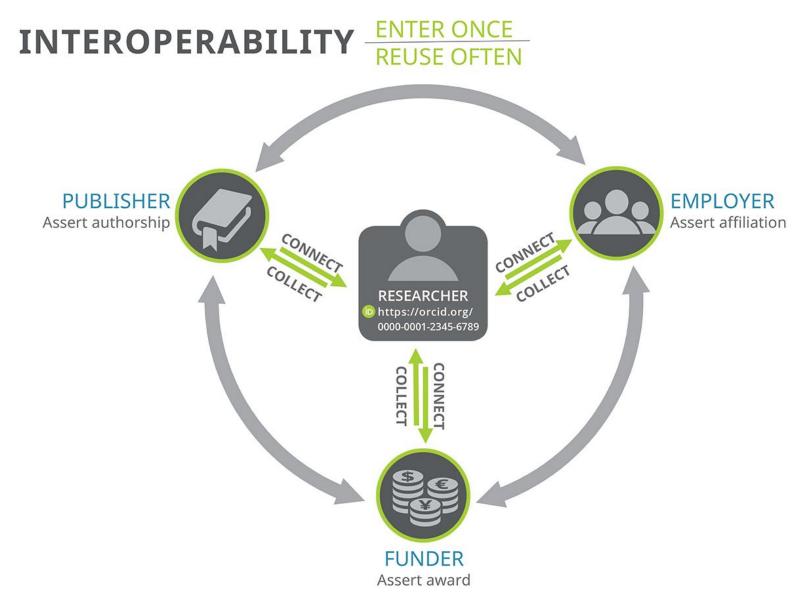


## There are ORCID users in 246 countries

That's every country on the planet apart from,

- Niue island nation in Oceania, pop. 2k
- Norfolk Island Australian island, South Pacific, pop. 2k
- Saint Pierre and Miquelon French archipelago south of Newfoundland, pop. 6k







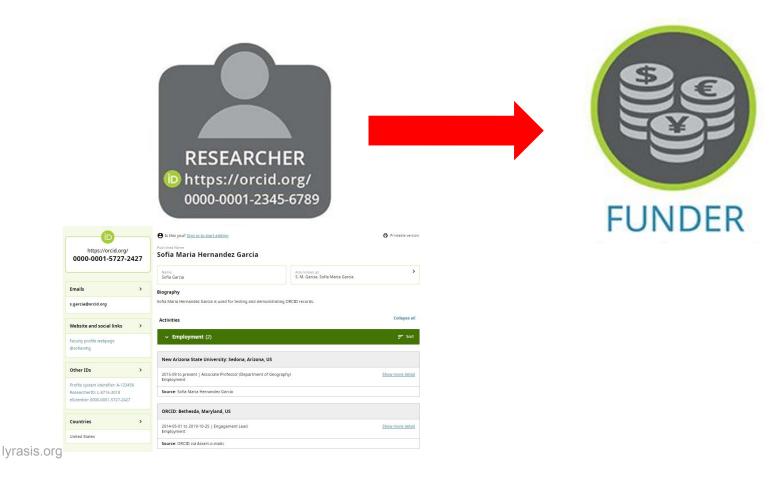


# Streamline collection of data about researchers and their activities

(to help with evaluation, reporting, and assessment)



**Streamline** collection of data about your researchers and their activities, to help with **evaluation**, **reporting**, **and assessment**.





## Leverage the ORCID API to become a **"Trusted Organization"** on your Researchers' ORCID records

## **Trusted organizations**<sup>o</sup>

Trusted organization	Approval date	Access type	
American Heart Association https://heart.org	2020-08-27	Get your ORCID iD	Ê

As a Trusted Organization, your organization can retrieve data directly from researchers' ORCID records, rather than relying on manual form-filling, and write data to ORCID records.

#### SciENcv Example

#### **Create a New Document**

Document name		SciENcy
	Enter a name to help you to identify this document	
Format	NIH Biosketch	
	O NIH Fellowship Biosketch	
	O NSF Biosketch	
	O NSF Current and Pending Support	RESEARCHER
	O IES Biosketch	0000-0001-2345-6789
	Select a format for this document	
Choose data source	<ul> <li>Start with a blank document</li> <li>Existing Document: Sheila Test</li> <li>External source: ORCID</li> <li>Your ORCID account is linked to SciENcv.</li> </ul>	
My Bibliography	ORCID	
Sort by: Public	cation date  Select: <u>None</u> 3 item(s) selected <u>Refresh the list from ORCID</u>	unchecked entries are hidden from display
	ities for Sociolegal Scholars: Hein, ORCID, and your Scholarly Profile. 2020 May. DOI: 10.5281/zenodo.	3862918
D. ORCID: Con	necting the Research Community. US; 2020 April. Available from: https://youtu.be/8jwqlFhe_zw	
ORCID API E	Basics and Benefits. 2020 February. Available from: https://orcid.figshare.com/articles/ORCID_API_Basic 243.11858028.V1	cs_and_Benefits/11858028/1 DOI:
UIVO and OF	RCID: to authentication and beyond. 2020 June. DOI: 10.5281/zenodo.3899865	



ORCID & SciENcv video tutorial from ORCID US Community: https://youtu.be/R6J2C-qfgk4



## **Persistent Identifiers**

(v) Consistent with applicable Federal laws and statutory authorities, within 1 year of the date of this memorandum, funding agencies shall establish policies regarding requirements for individual researchers supported by or working on any Federal research grant to be registered with a service that provides a digital persistent identifier for that individual.

(vi) Agencies shall standardize disclosure processes, definitions, and forms across funding agencies to the extent practicable. The Director of the Office of Management and Budget (OMB) shall work with OSTP, the Office of Government Ethics, and other agencies to coordinate the standardization of policies and forms related to disclosure of conflicts of interest and commitment. Where appropriate and consistent with applicable Federal laws and regulation, agencies should standardize forms for initial disclosures as well as annual updates, integrating digital persistent identifiers wherever appropriate and practicable, and should provide clear instructions to accompany these forms and to minimize any associated administrative burden. Presidential Memorandum on United States Government-Supported Research and Development National Security Policy

RATIONAL RECURITS & DEPENDED Incomit on January 54, 2023

PRESIDENTIAL NEMORANDA

https://trumpwhitehouse.archives.gov/ presidential-actions/presidentialmemorandum-united-statesgovernment-supported-researchdevelopment-national-security-policy/

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NIST

https://lyrasisnow.org/nspm-33-orcid-information-for-research-organizations/

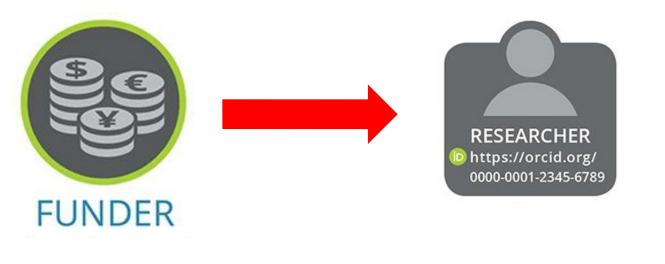




## Confirm Relationships with Researchers in the wider research landscape



ORCID allows funders to write award info to ORCID records, **confirming relationship** with researchers



Raymond Kim		> Employment (3)			
ORCID ID		> Education and qualifications (4	(4)		
Ohttps://orcid.org/0000-0002-2147-8674		✓ Funding (1)			It Sort
Print view 🛿		Cell-free DNA as a biomarker Children's Tumor Foundation (New			
Websites & Social Links		2019-02-01 to 2021-02-01  Grant			
Google Scholar profile		GRANT_NUMBER: CTF-2018-10-002			
University Health Network Hospital for Sick Children	- [	Source: Children's Tumor Foundation		★ Preferred source	

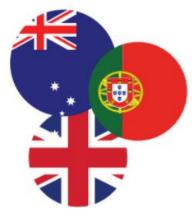
lyrasis.org

#### https://lyrasisnow.org/writing-data-to-orcid-records-via-custom-orcid-member-api-integration/



## **Evidence of Institutional Value**

Examples of time/staff savings achieved by implementing ORCID from around the world



# UK: 0.2 – 0.4 FTEs per institution<sup>1</sup> Portugal: 100,000 researcher hours per year<sup>2</sup> Australia: 15-30 minutes per grant application<sup>3</sup>

Jisc/ARMA Institutional ORCID Implementation and Cost Benefit Analysis Report 2015
 Cátia Laranjeira, FCT - Fundação para a Ciência e a Tecnologia 2017
 Australian Research Council governance meeting, September 2018

"Having ORCID iDs for most of our researchers has helped in providing authoritative accounts in our various databases, ensuring accuracy in reviewer identities, and helping editors find reviewers and check expertise."

-Brooks Hanson, Executive Vice President, Science, American Geophysical Union



# ORCID - enabled Systems



### ProposalCentral

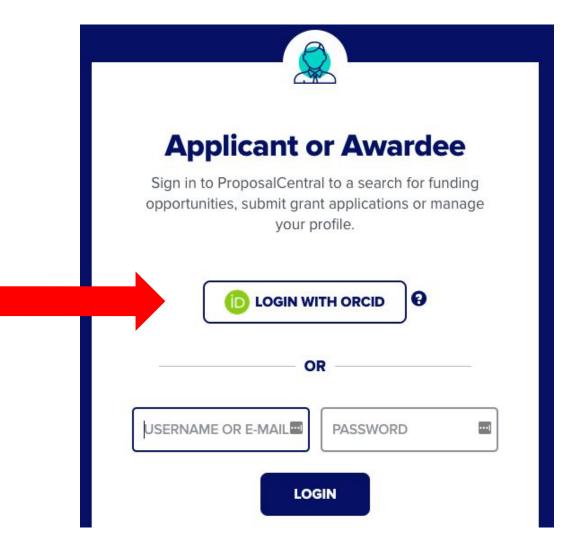


https://altum.com/proposalcentral-makes-history-as-the-first-orcid-certified-platform-for-funders/

http://altum.com/wp-content/uploads/2020/06/ORCID-Integration-with-ProposalCentral.pdf



### ProposalCentral allows researchers to sign in using ORCID:





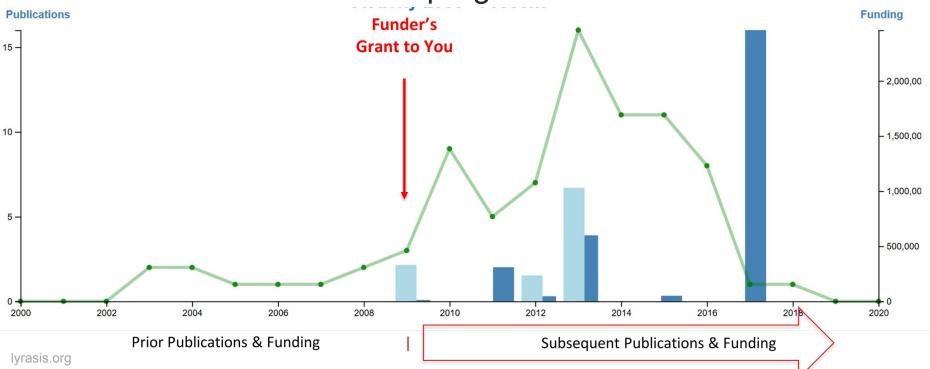
# ProposalCentral allows researchers to authorize your organization as a trusted party on their ORCID record, and **import data from ORCID** to populate applications and reports:

antee	McKee, Jamie	McKee, Jamie Awa			2019-TURTLE-101061				
stitution	ABC Research Institutio	ABC Research Institution Program		RRMS - Sea Turtle F		rch Program			
nnual Progress Report - Plea	ase provide the requested in	formation.							
Progress and Results: Descr	ibe the studies directed tow	ard specific aims during the curren	t budget year and the po	ositive and negative res	ults obtained. What happ	ens if this text is really long.			
Progress on the project is er Progress on the project is er	ntered here. Progress on the p ntered here. Progress on the p ntered here. Progress on the p	project is entered here. Progress or project is entered here. Progress or project is entered here. Progress or project is entered here. Progress or	n the project is entered h n the project is entered h	ere. Progress on the pro ere. Progress on the pro	ject is entered here. Progr ject is entered here. Progr	ess on the project is entered here. ess on the project is entered here.			
Do not exceed 10000 characters, including spaces)				Select Publ from:	ication	Jamie Patrick McKee	~		
Other Support				Publication	s		Select All		
lease list all other current other support, regardless of whether or not there is overlap with this project. No Other Support to report				Citation Year: 2019 Journal: Oncoimmunology Title: Neoantigen characteristics in the context of the					
	Award Number NCI 2002 ABB24123513245	Funding Source National Cancer Institute		- complete	predicted MHC o	lass I self-immunopeptidom	e.		
	NCRI-1234-1234-AAA	National Cancer Research Institute	te			nal: Biomacromolecules Title ellulose/Cellulose Nanocryst	e: Inverse Thermoreversible Mechanical Stiffening and all Hydrogel.		
+ Publications				_ Citation	Year: 2018 Jour		ome sequencing identifies gene variants and networks		
ruplications lease list all publications, data deposits, and other research works that have resulted from the Foundation's support. J No Publications to report				Citation Year: 2018 Journal: Chemical science Title: Aromatic secondary amine-functionalized fluorescent NO probes: improved detection sensitivity for NO and potential applications in cancer immunotherapy studies.					
	tatus	PMID Ti	itle	Citation	Year: 2018 Jour	nal: Developmental medicine	e and child neurology Title: Decreasing prevalence of		
✔ 2018 P	ublished in journal	ne	kome sequencing ider		palsy in birth coho 96 to 2009.	orts in South Carolina using	Medicaid, disability service, and hospital discharge		
✔ 2018 P	ublished in journal	29733648 In ar	verse Thermoreversible I nd Birefringence in a Met anocrystal Hydrogel.	Index for	Predicting the M	tagenicity of Simulated Atm			
✔ 2019 P	ublished in the sur	cc	eoantigen characteristics omplete predicted MHC o nmunopeptidome.	mental ill	ness: a survey of	service provision, stigma, at			
+			innunopeptidome.			nal: JCI insight Title: T cell of y morbidity later in infancy.	developmental arrest in former premature infants		
Electronic Signatures						0,1	rmacy practice : official publication of the International Iluation of the dosing strategies of biologic agents and		
Principal Investigator		Save							



ProposalCentral allows funders to:

- Get researchers' authenticated ORCID iDs
- Write funding award information and reviewer contributions to ORCID records
- Read data from ORCID records as part of an individual career dashboard and/or programmatic evaluation module





### **Smart Simple**

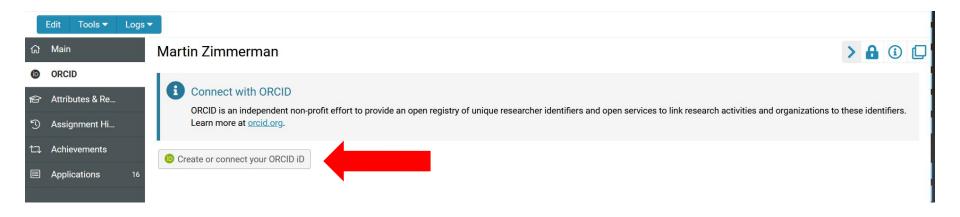


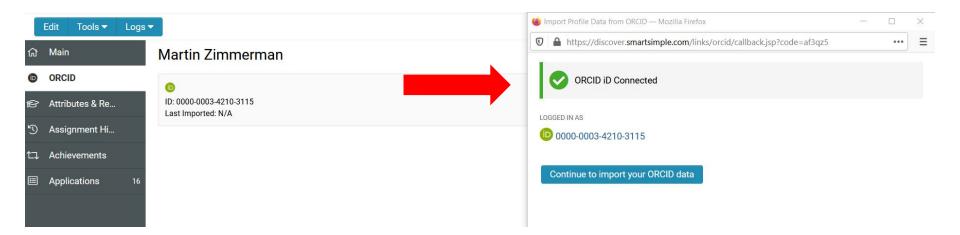
https://www.smartsimple.com/smartsimple-cloud-research-grants-management-software

https://wiki.smartsimple.com/wiki/ORCID\_Integration



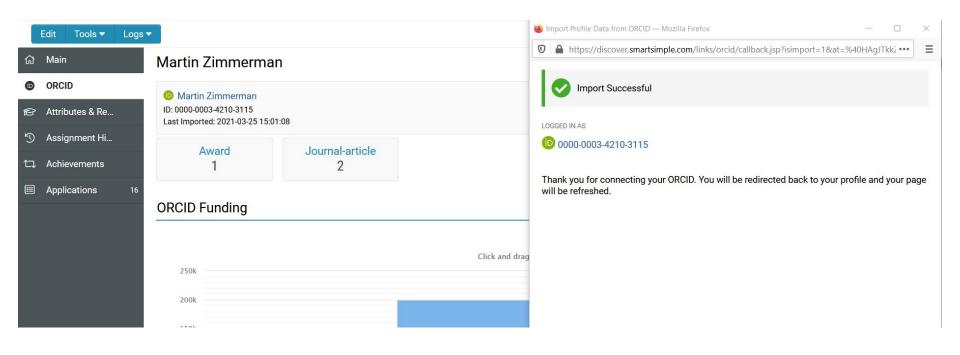
### Smart Simple allows users to connect their ORCID iD







Smart Simple allows users to **import information from their ORCID record** to save time:





### Smart Simple allows funders to **write funding information** to ORCID records:

Martin Zimmerman	✓ Funding (2)					
ORCID ID	Genotype Phenotype Correlations in Fish					
6 https://sandbox.orcid.org/0000-0003-4210-3115	Chung-Shan Institute of Science and Technology (Chung-Shan)					
	2021-01-01 to 2021-12-31 Award GRANT_NUMBER: PD2016-1064abc					
🚔 Print view 🥝	URL: https://discover.smartsimple.com/					
	Source: SmartSimple Software	★ Preferred source				



## ORCID Affiliation Manager

https://info.orcid.org/affiliation-manager/



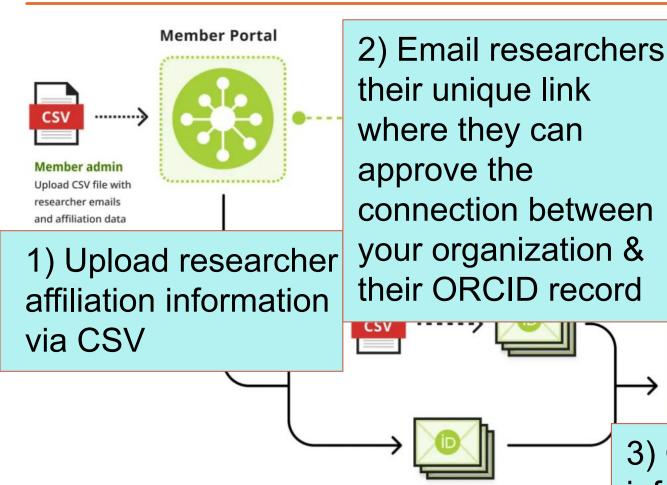
ORCID Affiliation Manager allows organizations to write affiliation information to ORCID records:

- Employment
- Education
- Qualifications
- Invited Positions
- Distinctions
- Membership
- Service (for example: reviewer information)

Funding information is not included, but could be helpful if your organization has these other types of relationships with researchers...

#### **ORCID Affiliation Manager**





Member portal Send authorization

notifications direct from the Member Portal 3) Once approved,
 info from the CSV
 gets automatically
 added to their ORCID
 record

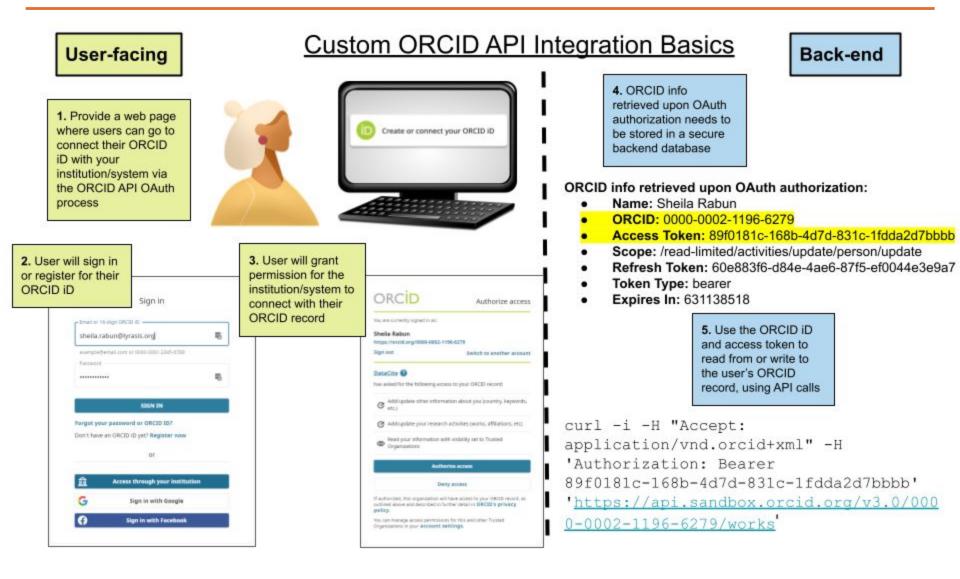


## Custom ORCID API Integration

https://orcidus.lyrasis.org/technical-integration-guide/

#### **Custom ORCID API Integration**





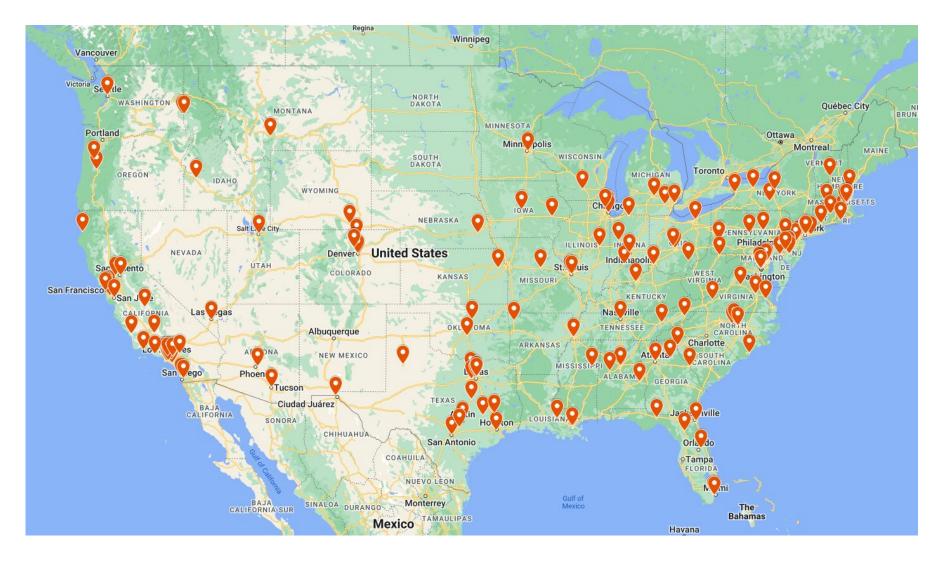
https://orcidus.lyrasis.org/technical-i ntegration-guide/



## ORCID US Community

#### **ORCID US Community**





#### https://orcidus.lyrasis.org/



### Funders

- American Heart Association
- Autism Speaks
- Breast Cancer Research Foundation
- Children's Tumor Foundation
- Damon Runyon Cancer Research Foundation
- Fred Hutchinson Cancer Center
- Melanoma Research Alliance
- The Nemours Foundation



### ORCID US Community Consortium

- Currently 178+ members: universities, funders, and other non-profit research organizations
- Building a community of practice around ORCID leveraging the benefits of ORCID as a "trusted organization"
- 5 premium ORCID API keys
- Annual fee: \$4,800



https://orcidus.lyrasis.org/membership/



### Thank you!

Web <u>https://orcidus.lyrasis.org/</u> Email <u>orcidus@lyrasis.org</u>

